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## Guidelines on the quality, safety and efficacy of human Respiratory Syncytial Virus vaccines

### NOTE:

This document has been prepared for the purpose of inviting comments and suggestions on the proposals contained therein, which will then be considered by the Expert Committee on Biological Standardization (ECBS). Publication of this draft is to provide information about the proposed *Guidelines on the quality, safety and efficacy of human Respiratory Syncytial Virus vaccines* and is intended to improve transparency of the consultation process.

**The text in its present form does not necessarily represent the final conclusions of the Expert Committee. Written comments proposing modifications to this text MUST be received by 28 February 2019 entered in the Comment Form (available separately), and should be addressed to the World Health Organization, 1211 Geneva 27, Switzerland, attention: Department of Essential Medicines and Health Products (EMP).**

Comments may be submitted electronically to the Responsible Officer: **Dr Tiequn Zhou** at email: [zhout@who.int](mailto:zhout@who.int).

The outcome of the deliberations of the ECBS will be published in the WHO Technical Report Series. The final agreed formulation of the document will be edited to be in conformity with the second edition of the WHO style guide (KMS/WHP/13.1).

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9 Guidelines published by the World Health Organization (WHO) are intended to be scientific and  
10 advisory in nature. Each of the following sections constitutes guidance for national regulatory  
11 authorities (NRAs) and for manufacturers of biological products. If an NRA so desires, these WHO  
12 Guidelines may be adopted as definitive national requirements, or modifications may be justified and  
13 made by the NRA. It is recommended that modifications to these Guidelines are made only on  
14 condition that such modifications ensure that the product is at least as safe and efficacious as that  
15 prepared in accordance with the guidance set out below.

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## 1 **Abbreviations**

2	CCID <sub>50</sub>	Cell culture infectious dose 50%
3	ECBS	Expert Committee on Biological Standardization
4	EOP	End of production cells
5	ERD	Enhanced respiratory disease
6	FI-RSV	Formalin inactivated RSV vaccine
7	GMO	Genetically modified organism
8	ICP	Immune correlate of protection
9	MCB	Master cell bank
10	MPL	3- <i>O</i> -desacyl-4'-monophosphoryl lipid A
11	MVA	Modified Vaccinia Ankara
12	NAT	Nucleic acid amplification technique
13	NRA	National regulatory authority
14	NP	Nasopharyngeal [swab or aspirate]
15	NS	Nasal swab
16	LMIC	Low- and middle-income countries
17	LRTI	Lower respiratory tract infections
18	PDVAC	Product Development for Vaccines Advisory Committee
19	PFU	Plaque Forming Unit
20	RDAI	Respiratory distress assessment instrument
21	RDT	Rapid diagnostic test
22	RSV	Respiratory syncytial virus
23	RSV-F	Respiratory syncytial virus fusion protein
24	RSV-G	Respiratory syncytial virus G or attachment protein
25	RSV-preF	Respiratory syncytial virus fusion protein in the prefusion conformation
26	RT-PCR	Reverse transcription-polymerase chain reaction

1	Th1	Refers to CD4 <sup>+</sup> T helper cell responses that secrete cytokines such as IL-2 and
2		IFN-gamma
3	Th2	Refers to CD4 <sup>+</sup> T helper cells responses that secrete cytokines such as IL-5
4		and IL-13
5	T <sub>RM</sub>	Resident memory T cells
6	VMS	Virus master seed
7	VWS	Virus working seed
8	WCB	Working cell bank

9

## 10 Introduction

11 Respiratory syncytial virus (RSV) is a globally prevalent cause of lower respiratory tract  
12 infection (LRTI) in all age groups. In neonates and young infants, the first infection may cause  
13 severe bronchiolitis, which is sometimes fatal. In older children and adults repeated upper  
14 respiratory tract infections are common; these infections may not be associated with clinical  
15 signs and symptoms or may cause mild disease.

16 In addition to the paediatric burden, RSV is increasingly recognized as an important pathogen  
17 in adults  $\geq 65$  years of age, leading to hospitalization and causing a mortality rate approaching  
18 that of influenza. The risk of severe disease in the older adults is increased by the presence of  
19 underlying chronic pulmonary disease, circulatory conditions, and functional disability, and is  
20 associated with higher RSV loads (1-4).

21 In the absence of safe and effective antiviral agents to treat RSV, there is a great unmet need  
22 for vaccines against RSV. In recent years, increased understanding of RSV biology and  
23 advances in technologies has resulted in the advancement of multiple vaccine candidates into  
24 clinical development, some of which may receive regulatory approval in the near future. The  
25 WHO Product Development for Vaccines Advisory Committee considers it a priority to ensure  
26 that emerging RSV vaccines are suitable for licensure and meet policy decision-making needs  
27 to support optimal use in low- and middle-income countries (LMIC) in addition to high-income  
28 countries (5-7).

29 There is a need for harmonized technical expectations to guide and facilitate the international  
30 development and assessment of candidate RSV vaccines. In response to this, WHO convened  
31 a series of consultations with experts from academic institutes, industry, regulatory authorities  
32 and other stakeholders, to review and discuss all aspects of RSV vaccine development (8,9).  
33 Furthermore, WHO convened a group of experts to prepare draft guidelines on the quality,  
34 safety and efficacy of RSV vaccines and the first draft was reviewed in an informal consultation  
35 meeting during 18-19 September 2018 by experts from academia institutions, industry,  
36 regulatory authorities and other stakeholders.

1 These Guidelines are developed based on experience gained so far from RSV vaccines  
2 development and outcomes from above international consultations and will need to be updated  
3 as new data become available and as vaccines are licensed. This document provides  
4 information and guidance on the production, quality control and the nonclinical and clinical  
5 evaluation of candidate RSV vaccines in the form of WHO Guidelines rather than WHO  
6 Recommendations. This format allows for greater flexibility with respect to the expected future  
7 of RSV vaccine development, production, quality control and evaluation.

## 8 9 **Scope**

10 These WHO Guidelines provide guidance for national regulatory authorities (NRAs) and  
11 vaccine manufacturers on the quality, nonclinical and clinical aspects of human RSV  
12 vaccines to assure their quality, safety and efficacy. The scope of the present document  
13 encompasses the leading technologies that are currently used to develop prophylactic RSV  
14 vaccines which are at clinical development stage, e.g. live attenuated including genetically  
15 modified organism (GMO) such as chimeric virus vaccines, recombinant viral and other  
16 vectored systems, protein-based including subunit and nanoparticle RSV vaccines with and  
17 without adjuvants.

18  
19 Although there are many areas of overlap, there are some unique aspects to consider when  
20 describing the quality, safety and clinical testing of RSV monoclonal antibodies and a  
21 separate Guideline will be needed to focus on these products.

22  
23 This document should be read in conjunction with other relevant WHO guidance, especially  
24 those on the nonclinical (10,11) and clinical (12) evaluation of vaccines, as well as relevant  
25 documents that describe the minimum requirements for an effective National  
26 Pharmacovigilance System (13). Other WHO guidance, such as that on the evaluation of animal  
27 cell cultures as substrates for the manufacture of biological medicinal products and for the  
28 characterization of cell banks (14), should also be consulted as appropriate. This document  
29 covers many technology platforms, however, since it is limited in scope, the other WHO  
30 guidance documents may be referred as relevant, such as those for the manufacture of  
31 biologicals using pathogen-free, embryonated-eggs (15) if RSV vaccine is produced using  
32 embryonated hen's eggs, guidance describing the manufacture, quality control and release of  
33 Bacillus Calmette-Guerin (BCG) vaccines (16) in the case of BCG-vectored RSV vaccines.

34  
35 It should be noted that there remain knowledge gaps in the scientific understanding of RSV  
36 vaccines which are being addressed by ongoing research and development. This document has  
37 been developed in the light of the available knowledge to date, and with regard to the currently  
38 most advanced candidate RSV vaccines.

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## 1 Terminology

2 The definitions below apply to the terms as used in this Guideline. They may have different  
3 meanings in other contexts.

4

5 **Adjuvant:** a substance or a combination of substances used in conjunction with a vaccine  
6 antigen to enhance (for example, increase, accelerate, prolong and/or possibly target) the  
7 specific immune response to the vaccine antigen and the clinical effectiveness of the vaccine.

8

9 **Adsorbed monovalent antigen bulk:** a batch of purified monovalent antigen bulk adsorbed  
10 on adjuvant. Different batches of adsorbed monovalent antigen bulks may be pooled before  
11 collection into a single vessel. If a novel adjuvant is used that does not involve adsorption of  
12 the antigen to the adjuvant, the term “adjuvanted monovalent bulk” may be used.

13

14 **Adventitious agents:** contaminating microorganisms of the cell culture or source materials  
15 including bacteria, fungi, mycoplasma/spiroplasmas, mycobacteria, rickettsia, protozoa,  
16 parasites, transmissible spongiform encephalopathy (TSE) agents and viruses that have been  
17 unintentionally introduced into the manufacturing process of a biological product.

18

19 **Candidate vaccine:** an investigational vaccine which is in research and clinical development  
20 stages and has not yet been granted marketing authorization or licensure by a regulatory agency.

21

22 **Cell bank:** a collection of appropriate containers of cells whose contents are of uniform  
23 composition stored under defined conditions. Each container represents an aliquot of a single  
24 pool of cells.

25

26 **Cell culture infectious dose 50% (CCID<sub>50</sub>):** the amount of virus sufficient to cause a  
27 cytopathic effect in 50% of inoculated replicate cell cultures, as determined in an end-point  
28 dilution assay in monolayer cell culture.

29

30 **Cell substrate:** cells used for the production of a vaccine.

31

32 **Chimeric RSV vaccine:** live-attenuated recombinant RSV vaccines integrating one or more  
33 RSV genes into either viral or bacterial vectors. Examples may include Sendai virus,  
34 parainfluenza virus, bovine RSV, measles virus or BCG as well as other constructs.

35

36 **Cytopathic effect:** a degenerative change in the appearance of cells, especially in tissue culture  
37 when exposed to viruses, toxic agents or non-viral infections.

38

39 **Drug product:** a pharmaceutical product type in a defined container closure system that  
40 contains a drug substance, in general formulated with excipients.

41

42 **Drug substance:** the active pharmaceutical ingredient and associated molecules. The Drug  
43 Substance is sometimes also called the final bulk. The Drug Substance may be manufactured

1 from one or more harvest pools and may be subsequently formulated with excipients to produce  
2 the Drug Product.

3  
4 **End of production (EOP) cells:** cells cultured under conditions comparable to those used for  
5 production and derived from the MCB or WCB to a passage level or population doubling level  
6 comparable to or beyond the highest level reached for production.

7  
8 **Enhanced respiratory disease (ERD):** the observation of severe lower respiratory tract  
9 disease occurring with increased frequency in infants and children (and calves) infected with  
10 wild-type RSV (or bovine RSV) following immunization with a candidate formalin-inactivated  
11 whole-virus RSV vaccine. This term has also been used to refer to histopathological evidence  
12 of inflammation observed in the lungs of cotton rats and calves immunized with formalin-  
13 inactivated whole-virus RSV vaccine prior to live RSV experimental challenge and infection.

14  
15 **Expression construct:** defined as the expression vector containing the coding sequence of the  
16 recombinant protein.

17  
18 **Expression system:** the host cell with its expression construct and the cell culture process that  
19 is capable of expressing protein encoded by the expression construct. Expression systems may  
20 include bacterial-based, baculo-cell-based, mammalian-based or yeast-based.

21  
22 **Final bulk** (also known as Drug Substance): the finished vaccine prepared from one or more  
23 harvest pools and that may include excipients.

24  
25 **Final lot** (also known as Drug Product): a collection of sealed final containers of finished  
26 vaccine that is homogeneous with respect to the risk of contamination during filling and freeze-  
27 drying. All final containers should, therefore, have been filled from one vessel of final bulk  
28 and if freeze-dried, processed under standardized conditions in a common chamber in one  
29 working session.

30  
31 **F protein nanoparticles:** non-infectious, non-replicating, non-enveloped nanoparticles that  
32 resemble protein-protein micelles of purified native RSV F protein. Conformational epitopes  
33 are retained in this formation.

34  
35 **Genetically modified organism (GMO):** an organism in which the genetic material has been  
36 altered in a way that does not occur naturally by mating and/or natural recombination.

37  
38 **Harvest:** the material collected from cell cultures that is used to prepare the vaccine. The  
39 material may be culture supernatant, cells (which are often disrupted) or some combination  
40 thereof.

- 1 **Heterologous gene:** a transgene from the disease-causing organism that is integrated into the  
2 genomic sequence of the viral vector.
- 3 **Immune correlate of protection (ICP):** an ICP is most commonly defined as a type and  
4 amount of immunological response that correlates with vaccine-induced protection against an  
5 infectious disease and that is considered predictive of clinical efficacy (12).
- 6 **Immunogenicity:** the capacity of a vaccine to elicit a measurable immune response.
- 7 **Infant:** children less than one year old.
- 8 **Live-attenuated RSV vaccine:** vaccines derived either using conventional methods of  
9 attenuation such as serial passage with or without chemical mutagenesis of RSV or using  
10 recombinant methods to engineer an RSV strain recovered from plasmid cDNA(s). Such a  
11 RSV vaccine is capable of initiating an immune response following a mild infection lacking  
12 disease symptoms.
- 13 **Master cell bank (MCB):** a quantity of well-characterized cells of animal or other origin,  
14 derived from a cell seed at specific population doubling level or passage level, dispensed into  
15 multiple containers, cryopreserved and stored frozen under defined conditions, such as the  
16 vapor or liquid phase of liquid nitrogen in aliquots of uniform composition (14).
- 17 **Monovalent vaccine:** a vaccine containing an antigen or gene/genes encoding an antigen(s)  
18 derived from a single RSV strain or subtype and manufactured using a single harvest or using  
19 material pooled from one or more harvests of the identical antigen(s) or virus strain.
- 20 **Multivalent vaccine:** a vaccine containing antigens derived from more than one RSV strain  
21 or subtype. Typically, these products are manufactured by pooling individual harvests for  
22 each antigen, each virus strain or subtype.
- 23 **Older adults:** individuals 50 years of age and older.
- 24 **Parental virus:** a virus that has been manipulated in some manner to generate a viral seed  
25 with characteristics needed for production.
- 26 **Particle-based or subunit RSV vaccine:** RSV vaccines that only contain certain antigens or  
27 subunits of RSV. They may be produced by different expression systems.
- 28 **Plaque-forming units (PFU):** the amount of virus sufficient to lyse host cells and cause a  
29 single visible plaque or focus of infection due to cytopathic effect in a cell culture monolayer  
30 after proper staining of cells.
- 31 **Platform technology:** a production technology with which different viral-vectored vaccines  
32 are produced by incorporating heterologous genes for different proteins into an identical viral  
33 vector backbone.
- 34 **Pooled harvest:** a homogeneous pool of two or more single production harvests.
- 35 **Premature infant:** an infant born at  $\leq 37$  weeks gestation.

- 1 **Purified bulk:** a batch of purified antigen of a single RSV subtype. Different batches of  
2 purified monovalent antigen bulks may be pooled into a single vessel.
- 3 **Qualification:** determination of the suitability of a cell substrate for manufacturing based on  
4 its characterization.
- 5 **RSV-naïve:** subjects who have not yet been exposed to or infected with RSV.
- 6 **RSV-non naïve/experienced:** subjects who have experienced RSV infection in the past.  
7 Prior infection may be based on a previous clinical episode in which RSV was proven to be  
8 the aetiologic agent (e.g. the subject had a positive culture, antigen detection or RT-PCR test  
9 for RSV in the context of an RSV illness). Alternatively or in addition, subjects may have  
10 immunologic evidence of prior RSV infection. In infants with persisting maternal antibody,  
11 evidence of prior infection may be based on finding RSV-specific IgA or IgM in serum or  
12 secretions, or evidence of immune memory (e.g., a B cell or T cell memory response detected  
13 by ELISPOT). In general, passively-acquired maternal anti-RSV neutralizing or IgG  
14 antibodies are not known to persist in infants past their first birthday and most infants lose  
15 these maternal antibodies much earlier. Therefore, prior RSV infection in subjects at least 12  
16 months of age may be identified by a single positive serology test for anti-RSV neutralizing  
17 or IgG binding antibodies.
- 18 **RSV G and RSV F protein:** the two major surface glycoproteins of RSV, the attachment (G)  
19 protein and the fusion (F) protein, present as a metastable pre-fusion F protein and a stable  
20 post-fusion F protein. These glycoproteins are the primary targets of neutralizing antibodies.
- 21 **Seed lot system:** a system according to which successive batches of virus or viral-vectored  
22 vaccine are derived from the same Virus Master Seed (VMS) lot at a given passage level. For  
23 routine production, a Virus Working Seed (VWS) lot is prepared from the VMS lot. The final  
24 product is derived from the VWS lot and has not undergone more passages from the VMS lot  
25 than the vaccine shown to be safe and effective in clinical studies. In the case of bacterial-  
26 vectored vaccines, a seed lot system based on bacterial master seed is prepared from which a  
27 bacterial working seed is derived.
- 28 **Vaccine efficacy:** measures protection induced by immunization in the vaccinated population  
29 sample. Vaccine efficacy is a measure of the reduction in disease attack rate (AR) between  
30 the control group that did not receive vaccination against the disease under study (ARU) and  
31 the vaccinated group (ARV). Vaccine efficacy is expressed as a percentage and is calculated  
32 from the relative risk ( $RR = ARV/ARU$ ) of the disease comparing the vaccinated group to the  
33 unvaccinated control group as  $[(ARU-ARV)/ARU] \times 100$  or  $[1-RR] \times 100$ . This estimate may  
34 be referred to as absolute vaccine efficacy. Alternatively, vaccine efficacy may be defined as  
35 a measure of the proportionate reduction in disease AR between a control group that is  
36 vaccinated against the infectious disease under study and the group vaccinated with the  
37 candidate vaccine. This estimate is referred to as relative vaccine efficacy (12).

- 1 **Viral clearance:** the combination of physical removal of virus particles and reduction of  
2 infectivity through inactivation.
- 3 **Viral-vectored RSV vaccine:** replication-deficient recombinant RSV vaccines that use viral  
4 expression systems such as adenovirus or modified virus Ankara to produce antigens of RSV.
- 5 **Virus/bacterial master seed (master seed lot):** a quantity of viral or bacterial material that  
6 has been derived from the same pre-master seed lot, has been processed as a single lot, and  
7 has a uniform composition, stored under defined conditions. Each container represents an  
8 aliquot of a single pool of viral or bacterial material of defined passage from which the  
9 working seed is derived.
- 10 **Viral/bacterial working seed (working seed lot):** for routine production, a working seed lot  
11 is prepared from the master seed lot under defined conditions and used to initiate production  
12 lot-by-lot. In the case of viral-vectored or live-attenuated vaccines, the final vaccine lot is  
13 derived from the virus working seed lot and has not undergone more passages from the virus  
14 master seed lot than the vaccine shown to be safe and effective in clinical studies. See Seed  
15 Lot System above.
- 16 **Virus pre-master seed (virus pre-seed):** a single pool of virus or virus vector particles of  
17 defined passage and from which the virus master seed is derived.
- 18 **Virus working seed:** a collection of appropriate containers whose contents are of uniform  
19 composition, stored under defined conditions. Each container represents an aliquot of a single  
20 pool of virus vector particles of defined passage derived directly from the virus master seed  
21 lot and which is the starting material for individual manufacturing batches of viral-vectored  
22 vaccine product.
- 23 **Working cell bank (Manufacturer's Working Cell Bank, MWCB):** a quantity of well-  
24 characterized cells of animal or other origin, derived from the master cell bank at a specific  
25 population doubling level or passage level, dispensed into multiple containers, cryopreserved  
26 and stored frozen under defined conditions, such as in the vapour or liquid phase of liquid  
27 nitrogen in aliquots of uniform composition. The working cell bank is prepared from a single  
28 homogeneously mixed pool of cells. One or more of the working cell bank containers is used  
29 for each production culture.

## 30 **General considerations**

### 31 ***Respiratory syncytial virus (RSV)***

32 RSV belongs to the Genus *Orthopneumovirus*, within the Family *Pneumoviridae*, and Order  
33 *Mononegavirales*. The members of this genus are human RSV, bovine RSV, ovine RSV, and  
34 pneumonia virus of mice. The RSV virion consists of a nucleocapsid packaged in a lipid  
35 envelope derived from the host cell plasma membrane (17). RSV is a single-stranded, non-  
36 segmented negative-sense RNA genome with 15,191–15,288 nucleotides (17,18).

1 The RSV envelope contains three viral transmembrane surface glycoproteins: the large  
2 glycoprotein G, the fusion protein F, and the small hydrophobic SH protein. The non-  
3 glycosylated matrix M protein is present on the inner face of the envelope. The RSV F and G  
4 glycoproteins are the only targets of neutralizing antibodies and are the major protective  
5 antigens (17). The 574-amino acid F protein forms a homotrimer that directs viral penetration  
6 and syncytium formation. The large RSV-G glycoprotein is thought to form trimers and/or  
7 tetramers and is involved in attachment; a secreted form of this protein helps RSV evade host  
8 immunity (17). The most extensive antigenic and genetic diversity is found in the attachment  
9 glycoprotein, G (17,19).

10  
11 There are two major antigenic groups of human RSV, A and B that exhibit genome-wide  
12 sequence divergence, and additional antigenic variability occurs within each group (19).

### 13 *Epidemiology*

14 RSV is a leading cause of respiratory disease globally. The virus causes infections at all ages,  
15 but young infants have the highest incidence of severe disease, peaking at 1–3 months of age.  
16 By 2 years of age, virtually all children will have been infected. Globally it is estimated that  
17 RSV causes >30 million acute LRTI in young children annually, with over 3 million severe  
18 cases requiring hospitalization, making it the most common cause of hospitalization in  
19 children under 5 years of age. The global mortality attributed to RSV-acute lower respiratory  
20 infection (ALRI) in young children is as high as 118 200 per annum (20).

21 RSV infection does not elicit long-lasting protective immunity and repeated upper respiratory  
22 tract infections are common throughout life. Infections in adults can range from  
23 asymptomatic to life-threatening, especially among adults >65 years of age and in those with  
24 underlying heart and lung problems (21). RSV transmission follows a marked seasonal  
25 pattern in temperate areas with mid-winter epidemics but may occur during rainy seasons or  
26 year-round in the tropics.

27 The two major RSV subtypes, A and B, and multiple genotypes of each can either dominate  
28 or co-circulate during RSV epidemic seasons every year; the association between disease  
29 severity and a specific RSV subtype or genotype is variable with no consistent pattern  
30 discerned (22).

### 31 *Disease and diagnosis*

32 The incubation period for RSV is usually 3 - 6 days (range from 2 to 8 days). Virus enters the  
33 body usually through the eye or nose, rarely through the mouth. Virus then spreads along the  
34 epithelium of the respiratory tract, mostly by cell-to-cell transfer. As virus spreads to the lower  
35 respiratory tract, it may produce bronchiolitis and/or pneumonia. Resolution of these  
36 pathological changes may take up to 3 weeks or more. Primary infections are often  
37 symptomatic but may vary from a mild common cold to a life-threatening LRTI. The course  
38 of the illness is variable, lasting from one to several weeks. Most infants show signs of  
39 improvement within 3 or 4 days after the onset of lower respiratory tract disease (23).

1 RSV infection may be diagnosed by cell culture techniques, or by the direct identification of  
2 viral antigen or virus genome through rapid diagnostic techniques. Diagnosis may be supported  
3 by serological testing. However, since both acute and convalescent serum samples are needed,  
4 additional time is required before serology results are available.

#### 6 ***Immune response to natural RSV infection***

7 Innate and adaptive immune responses contribute not only to the control and prevention of  
8 RSV infection but also to the pathogenesis of RSV disease. The repertoire of immune responses  
9 may vary substantially over the course of a lifetime. A careful and complete description of the  
10 ontogeny and subsequent modulation of the human immune response against RSV in neonates,  
11 infants, children and adults remains an area of active investigation and a thorough summary of  
12 the many known parameters is beyond the scope of this guideline but may be found in several  
13 reviews (24-28). A few of the immune responses associated with protection or potential  
14 pathology following RSV infection are discussed in brief here including: virus neutralizing  
15 antibodies, IgG and IgA antibodies in serum and on mucosal surfaces, including epitope-  
16 specific IgG responses, and cell-mediated immunity involving RSV-specific CD8<sup>+</sup> cytotoxic T  
17 cell and CD4<sup>+</sup> T-helper cell responses.

18 Whilst there is no established correlate of protection it appears that high concentrations of  
19 serum anti-RSV neutralizing antibodies are associated with a substantial decrease in the risk of  
20 severe lower respiratory tract disease following infection. It is now known that the majority of  
21 serum neutralizing antibodies elicited in response to natural RSV infection are directed against  
22 the prefusion conformation of RSV-F protein with smaller amounts directed against post-  
23 fusion RSV-F and against RSV-G (29, 30). Antibodies that bind specific epitopes present on  
24 prefusion RSV-F trimer tend to be highly potent neutralizers relative to activity seen with  
25 antibodies directed against post-fusion RSV-F. Many of the antibodies directed against RSV-  
26 F are broadly neutralizing and cross-reactive with both RSV-F<sub>A</sub> and -F<sub>B</sub> proteins; however,  
27 subtype-specific epitopes have also been identified on both prefusion and post-fusion RSV-F  
28 (31, 32).

29 Most post-infection human serum samples contain IgG antibodies to the central conserved  
30 region within the RSV-G protein, a region that mediates virus binding to the cellular receptor,  
31 CX3CR1 (33-35). Antibodies that bind to this region of RSV-G react with both RSV subtypes  
32 and have been associated with protection against RSV infection *in vivo* and broad neutralizing  
33 activity *in vitro*. Antibody responses against RSV-G protein may also be subtype-specific for  
34 RSV-G<sub>A</sub> or -G<sub>B</sub> protein with specificity determined by substantial genetic variability within  
35 the mucin-like C-terminal domains of this protein. The C-terminal RSV-G domain can bind  
36 glycosaminoglycans and C-type lectins that may facilitate virus infection and/or alter dendritic  
37 cell signaling (36).

38 Mucosal anti-RSV IgA antibodies correlated with a decrease in the severity of disease in adults  
39 experimentally infected with RSV and in children with natural RSV infection (37,38).

40 While antibodies may prevent RSV infection, cytotoxic CD8<sup>+</sup> T cells are needed to clear virus-  
41 infected cells (39). Cytotoxic CD8<sup>+</sup> T-cells may be elicited following natural RSV infection

1 and following immunization if antigenic peptides are expressed in the context of MHC Class  
2 I. CD8<sup>+</sup> T cells have been detected in bronchial alveolar lavage fluids and peripheral blood of  
3 infants and children after RSV infection (40,41). Resident memory CD8<sup>+</sup> T-cells (T<sub>RM</sub>) with a  
4 CD3<sup>+</sup> CD8<sup>+</sup> CD103<sup>+</sup> CD69<sup>+</sup> phenotype were recovered from lower airways using  
5 bronchoscopy in adults immediately after experimental RSV challenge, identified following  
6 re-stimulation with synthetic peptides representing sequences within RSV-N, -M and -NS2  
7 proteins and confirmed using tetramer staining (38). In this study, T<sub>RM</sub> were also detected in  
8 peripheral blood of adults 10 days after RSV challenge but were lower in frequency than the  
9 numbers recovered by bronchoscopy.

10 CD4<sup>+</sup> T-helper and T-regulatory (T<sub>REG</sub>) cells modulate B and/or T-cell proliferation. CD4<sup>+</sup> T-  
11 helper cells in infants less than 6 months of age are epigenetically programmed to have a  
12 dominant Th2 type cytokine response that may be antigen specific (42, 43). Th2-type CD4<sup>+</sup> T-  
13 helper cell responses are associated with cytokines that can lead to allergic inflammation; these  
14 responses have been associated with severe disease in RSV-infected infants in some studies  
15 suggesting that a dominant Th2 type cytokine response following RSV exposure is not  
16 desirable in young infants (44). During infancy, cytokine responses may also be skewed in  
17 favour of Th2-type responses as a result of down-regulation of Th1 responses. For example, a  
18 recent study identified a specific subset of neonatal regulatory B (nBreg) cells that produce  
19 anti-inflammatory IL-10 that downregulates Th1 cell responses when infected with RSV via  
20 the B cell receptor (BCR) and CX3CR1. Neonates with severe RSV-bronchiolitis had high  
21 numbers of RSV-infected nBreg cells that correlated directly with an increase in viral load and  
22 decrease in the frequency of memory Th1 cells (45).

23

#### 24 ***RSV vaccine development***

25 RSV vaccine development started in 1960s with an unsuccessful formalin-inactivated RSV  
26 vaccine (FI-RSV) (46) that induced a severe and, in two cases, lethal lung inflammatory  
27 response during the first natural RSV infection after vaccination of RSV-naïve infants. The  
28 concerns over FI-RSV vaccine hindered development of alternative RSV vaccines for many  
29 years. However, a number of candidate vaccines have been proposed over the last decade, some  
30 with promising results (47-49) and multiple observations support the feasibility of vaccination  
31 against RSV (50). Numerous efforts targeting the RSV F protein with vaccines have been based  
32 on the protective efficacy seen in infants given a neutralizing anti-F monoclonal antibody (mAb)  
33 (palivizumab). As the understanding of RSV biology has improved, the other major envelope  
34 protein, RSV-G, has emerged as another potential target reflecting its critical role in mediating  
35 infection of bronchial epithelial cells and in altering the host immune response (51).

36 Currently, there are no vaccines licensed for the prevention of RSV disease in any age group.  
37 Several candidates are at various stages of development with the most advanced candidate(s)  
38 in phase III clinical efficacy trials. These vaccines are mainly monovalent vaccines. Future  
39 developments might include multivalent vaccines containing antigens derived from more than  
40 one RSV strain or subtype. For this group of vaccines, special considerations might apply.

1 Nevertheless, due to the prior experience with the FI-RSV, a cautious approach has been taken  
2 in vaccine development, especially with regard to candidates aimed at eliciting active immunity  
3 in RSV-naïve infants.

4 It is important that RSV vaccine candidates with properties similar to FI-RSV, such as  
5 inactivated virus antigens and subunit protein or particle-based vaccines, are carefully studied  
6 using animal models in order to assess the potential risk of vaccine-associated enhancement  
7 of respiratory disease (ERD), prior to testing in an RSV-naïve infant population. Due to the  
8 lack of adequate animal models that mimic human RSV disease, several semi-permissive  
9 animal models are discussed in this Guideline (see Part B). Great weight is placed on  
10 histopathological finding in the lungs of challenged animals as well as comprehensive  
11 characterization of the induced immune responses, including the functionality of antibodies  
12 elicited in response to the candidate vaccine, the balance of type 1 and type 2 CD4<sup>+</sup> T-helper  
13 cell cytokine profiles, and presence or absence of CD<sup>+</sup>8 cytotoxic T cells.

## 14 **Part A. Guidelines on development, manufacturing and control of human** 15 **RSV vaccines**

### 16 **A.1 Definitions**

#### 17 **A.1.1 International name and proper name**

18 Although there is no licensed RSV vaccine, the provision of a suggested international name  
19 will aid harmonization of nomenclature after licensure. The international name for  
20 Respiratory Syncytial Virus Vaccine should be “Respiratory Syncytial Virus Vaccine”,  
21 depending on the construct of the antigen, this should be further specified (e.g. live  
22 attenuated, recombinant), including the use of words such as “adjuvanted” and/or “adsorbed”,  
23 if relevant. The proper name should be the equivalent of the international name in the country  
24 of origin followed in parentheses by the virus subtype (where applicable) and name of the  
25 recombinant protein/proteins when applicable.

#### 26 **A.1.2 Descriptive definition name**

27 A live-attenuated RSV vaccine which has been derived either with conventional attenuation  
28 of RSV or with recombinant biological methods should express antigens of RSV. The full  
29 proper name should identify the subtype of the parental virus from which it was derived and  
30 include gene-by-gene notations to identify deletions, insertions, mutations, and changes in  
31 gene order relevant to the attenuation phenotype. The vaccine may be presented as a sterile  
32 aqueous suspension or as freeze-dried material. Likewise, a chimeric live-attenuated RSV  
33 vaccine (for example, recombinant bovine parainfluenza RSV chimera, recombinant Sendai-  
34 RSV chimera or recombinant BCG-RSV chimera) should contain the gene(s) for the RSV  
35 antigen/antigens. These chimeric vaccines are produced by recombinant DNA technology.  
36 The vaccine may be presented as a sterile aqueous suspension or as freeze-dried material.

37 A particle-based or subunit vaccine should identify the RSV antigen/antigens which are  
38 included in the vaccine and produced by recombinant DNA technology. Particle-based RSV

1 vaccines may form nanoparticles. A particle-based or subunit vaccine might be formulated  
2 with a suitable adjuvant. The vaccine may be presented as a sterile liquid suspension.

3 A replication-deficient viral-vectored RSV vaccine derived from a platform technology, such  
4 as adenovirus or Modified Vaccinia Ankara (MVA), is produced by recombinant DNA  
5 technology and should identify the RSV antigen/antigens expressed by the vector. The  
6 vectored vaccine may be presented as a sterile liquid suspension or as freeze-dried material.

7 Such vaccines are for prophylactic use.

### 8 **A.1.3 International reference materials**

9 As the prospective vaccines differ in type, no international reference material for the various  
10 vaccine candidates is currently available.

11 However, the 1<sup>st</sup> WHO International Standard for antiserum to Respiratory Syncytial Virus,  
12 has been established by the WHO Expert Committee on Biological Standardization (ECBS)  
13 with assigned unitage of 1000 IU/ampoule, to be used for standardization of virus  
14 neutralization methods to measure antibody levels against RSV/A in human sera (52). This  
15 allows standardization of RSV neutralization assays independently from the assay format,  
16 and eases comparability of immunogenicity of RSV vaccine candidates.

17 The standard is available from the National Institute for Biological Standards and Control,  
18 Potters Bar, the United Kingdom. For the latest list of appropriate WHO international  
19 standards and reference materials, the WHO Catalogue of International Reference  
20 Preparations (53) should be consulted.

#### 21 **A.1.3.1 Expression of dose related to vaccine potency**

22 In the case of live-virus and chimeric virus vaccines, potency is typically expressed in terms  
23 of the number of infectious units of virus contained in a human dose, using a specified tissue  
24 culture substrate and based on the results of clinical trials.

25 International standards and reference reagents for the control of RSV vaccine antigen content  
26 and potency are not available. Therefore, product-specific reference preparations may be  
27 used. The dose related to vaccine potency should be calculated against a product-specific  
28 standard. Until then, alternatively, plaque-forming units (PFUs), the median cell culture  
29 infectious dose (CCID<sub>50</sub>) or other relevant product-specific assays can be used to express the  
30 potency and dose of the vaccine. The dose should also serve as the basis for establishment of  
31 parameters for stability and expiry date.

## 32 **A.2 General manufacturing guidelines**

33 The general manufacturing requirements contained in WHO good manufacturing practices  
34 for biological products and pharmaceutical products should apply to the design,  
35 establishment, operation, control and maintenance of manufacturing facilities for the  
36 respective type of vaccine (54,55). Manufacturing areas may be used on a campaign basis

1 with adequate cleaning between campaigns to ensure that cross-contamination does not  
2 occur.

3 Production steps involving manipulations of recombinant types which might involve live  
4 viruses should be conducted at a biosafety level consistent with the production of  
5 recombinant microorganisms, according to the principles of the WHO's Laboratory biosafety  
6 manual (56). The basis for this is a microbiological risk assessment which results in  
7 classification in different biosafety levels. The respective classification level should be  
8 approved by the relevant authority from the country/region where the manufacturing facility  
9 is located.

#### 10 **A.2.1 Considerations on manufacturing of RSV vaccines**

11 As there is currently no licensed RSV vaccine available, the following provision should be  
12 considered.

13 During early clinical trials, it is unlikely that there will be data from sufficient batches to  
14 validate/qualify product manufacture. However, as development progresses, data should be  
15 obtained from subsequent manufacture and should be used in support of an eventual  
16 application for commercial supply of the product.

17 In addition to control during manufacture, each product should be adequately characterized  
18 by the stage of development. These attributes facilitate understanding of the biology of the  
19 candidate vaccine and assessment of the impact of any changes in manufacturing that are  
20 introduced as development advances, or in a post-licensure setting. The immunogenicity of  
21 the product, when relevant and available, should also be included in the characterization  
22 programme (e.g. as part of the nonclinical pharmacodynamic evaluation). When available,  
23 and in agreement with NRA, data from platform technology could be supportive and  
24 leveraged.

25 By the time of submitting a marketing authorization application, the manufacturing process  
26 should be adequately validated by demonstrating that at least three consecutively produced  
27 commercial-scale batches can be manufactured consistently. Adequate control of the  
28 manufacturing process may be demonstrated by showing that each lot meets predetermined,  
29 in-process controls, critical process parameters and lot release specifications. Whenever  
30 important changes are made to the manufacturing process along the development of the  
31 vaccine, a comparability exercise should be performed between batches manufactured  
32 according to the different manufacturing processes following the ICH Q5E guideline (57).  
33 This is extremely important if changes are introduced between the Phase III pivotal study  
34 batches and future commercial batches. Any materials added during the purification process  
35 should be documented, and their removal should be adequately validated or residual amounts  
36 tested for, as appropriate. Validation should also demonstrate that the manufacturing facility  
37 and equipment have been qualified, cleaning of product contact surfaces is adequate, and  
38 critical process steps such as sterile filtrations and aseptic operations have been validated.

## 1 **A.3 Control of source materials**

### 2 **A.3.1 Cell culture**

3 RSV vaccine candidates have been produced in human cells (e.g. Human Embryonic Kidney  
4 cells - HEK 293), mammalian cells (e.g. Chinese Hamster Ovary cells CHO-K1, African  
5 Green Monkey Vero cells), in primary chick embryo cells, embryonated chicken eggs, and in  
6 insect cells (e.g. Sf9 derived from *Spodoptera frugiperda*, Hi-5 Rix4446 cells derived from  
7 *Trichoplusia ni*).

8 The use of a cell line should be based on a cell bank system (14). Sufficient information on  
9 the provenance of the cell bank should be provided. A maximum number of passages or  
10 population doublings level (PDL) should be established, if applicable. This should be  
11 established from the Master Cell bank (MCB), Working Cell bank (WCB) and the cells used  
12 for production. The cell bank or seed should be approved by the NRA.

13 Additional tests may include but are not limited to propagation of the master cell bank or  
14 working cell bank to or beyond the maximum *in vitro* age for production (end of production  
15 cells), examination for the presence of retroviruses and tumorigenicity in an animal test  
16 system (59). The MCB, WCB and EOP cells should be tested as described under cell  
17 substrates (see A.3.5.2.2, Continuous cell lines).

18 If primary cells or eggs are used, they should be based on a controlled system (for primary  
19 cells, see A.3.5.2.1; for eggs, see A.4.2.2 of WHO Recommendations to assure the quality,  
20 safety and efficacy of influenza vaccines (human, live attenuated) for intranasal  
21 administration (15).

### 22 **A.3.2 Source materials used for cell culture and virus propagation**

23 Only substances that have been approved by the NRA may be added.

24 If serum is used for the propagation of cells, it should be tested to demonstrate absence of  
25 bacteria, fungi and mycoplasmas – as specified in the requirements of the WHO General  
26 requirements for the sterility of biological substances (60) – and freedom from adventitious  
27 viruses.

28 Detailed guidelines for detecting bovine viruses in serum for establishing MCB and WCB are  
29 given in Appendix 1 of WHO's Recommendations for the evaluation of animal cell cultures  
30 as substrates for the manufacture of biological medicinal products and for the characterization  
31 of cell banks (14) and should be applied as appropriate. The guidelines for detecting bovine  
32 viruses in serum for establishing cell banks may also be applicable to production cell  
33 cultures. As an additional monitor of quality, sera may be examined for endotoxin. Gamma  
34 irradiation may be used to inactivate potential contaminant viruses, recognizing that some  
35 viruses are relatively resistant to gamma irradiation. Whatever the process used, the  
36 validation study should determine the consistency and effectiveness of the viral inactivation  
37 process while maintaining serum performance. The use of non-inactivated serum should be

- 1 strongly justified. The non-inactivated serum must meet the same criteria as the inactivated  
2 serum when tested for sterility and absence of mycoplasma and viral contaminants.
- 3 The source(s) of animal components used in culture medium (or used to produce culture  
4 medium components) should be approved by the NRA. These components should comply  
5 with the current WHO guidelines on transmissible spongiform encephalopathies in relation to  
6 biological and pharmaceutical products (61).
- 7 Bovine or porcine trypsin used for preparing cell cultures (or used to prepare culture medium  
8 components) should be tested and found free of bacteria, fungi, mycoplasmas and  
9 adventitious viruses, as appropriate. The methods used to ensure this should be approved by  
10 the NRA. The source(s) of trypsin of bovine origin, if used, should be approved by the NRA  
11 and should comply with the current WHO guidelines on transmissible spongiform  
12 encephalopathies in relation to biological and pharmaceutical products (61).
- 13 In some countries, irradiation is used to inactivate potential contaminant viruses in trypsin. If  
14 irradiation is used, it is important to ensure that a reproducible dose is delivered to all batches  
15 and the component units of each batch. The irradiation dose must be low enough so that the  
16 biological properties of the reagents are retained while being high enough to reduce  
17 virological risk. Consequently, irradiation cannot be considered a sterilizing process. The  
18 irradiation method should be validated by the manufacturer and approved by the NRA.
- 19 Recombinant trypsin is available and should be considered; however, it should not be  
20 assumed to be free of risk of contamination and should be subject to the usual considerations  
21 for any reagent of biological origin (14).
- 22 Human serum should not be used.
- 23 If human serum albumin derived from human plasma is used at any stage of product  
24 manufacture, the NRA should be consulted regarding the requirements, as these may differ  
25 from country to country. At a minimum, it should meet the WHO Requirements for the  
26 collection, processing and quality control of blood, blood components and plasma derivatives  
27 (62). In addition, human albumin and materials of animal origin should comply with the  
28 current WHO guidelines on transmissible spongiform encephalopathies in relation to  
29 biological and pharmaceutical products (61).
- 30 Penicillin and other beta-lactams should not be used at any stage of the manufacture because  
31 they are highly sensitizing substances. Other antibiotics may be used in the manufacture  
32 provided that the quantity present in the final lot is acceptable to the NRA.
- 33 Non-toxic pH indicators may be added (e.g. phenol red at a concentration of 0.002%).

### 1 A.3.3 Control of source materials for live attenuated/chimeric RSV vaccines

#### 2 A.3.3.1 Virus seed/chimeric seed

##### 3 A.3.3.1.1 Vaccine virus strains/chimeric strains

4 Strains of live RSV, attenuated biologically, chemically or by recombinant DNA technology,  
5 should be thoroughly characterized. This should include information on the origin of the  
6 strain, cell culture passage history, method of attenuation (e.g., by serial passages in animal  
7 species such as mice and chimpanzees), results of preclinical and clinical studies to prove  
8 attenuation, and whether the strains have been modified biologically, chemically, or by  
9 molecular biological methods before generation of the master seed. Furthermore, information  
10 on the complete genome sequence, and the passage level of the material used in clinical trials  
11 should be indicated. The respective strains should be approved by the NRA.

12 Strains of RSV recombinant viruses used for master and working seeds to produce vaccine  
13 candidates should comply with the additional specifications given in section A.3.3.1.1.1.

14 For chimeric RSV vaccines like bovine parainfluenza RSV chimera or recombinant Sendai  
15 RSV chimera, the provisions laid down in **Error! Reference source not found.** apply.

##### 16 A.3.3.1.1.1 Strains derived by molecular methods

17 In some countries, if a vaccine strain derived by recombinant DNA technology is used, and  
18 due to the fact that it is a live-attenuated vaccine, the candidate vaccine is considered to be a  
19 GMO and should comply with the regulations of the producing and recipient countries  
20 regarding GMOs.

21 The entire nucleotide sequence of any complementary DNA (cDNA) clone used to generate  
22 vaccine-virus stocks should be determined prior to any further nonclinical study or clinical  
23 trial. The cell substrate used for transfection to generate the virus should be appropriate for  
24 human vaccine production and should be approved by the NRA.

##### 25 A.3.3.1.2 Seed lot system

26 The production of the RSV vaccine should be based on a virus-seed lot system, to minimize  
27 the number of tissue culture passages needed for vaccine production. This includes a master  
28 seed and a working seed. Seed lots should be prepared in the same type of cells using similar  
29 conditions for virus growth as those used for production of final vaccine.

30 The virus working seed should have a defined relationship to the virus master seed with  
31 respect to passage level and method of preparation, such that the virus working seed retains  
32 the *in vitro* phenotypes and the genetic character of the virus master seed. Once the passage  
33 level of the virus working seed with respect to the virus master seed is established, it should  
34 not be changed without approval from the NRA.

35 The maximum passage level of master seed and working seed should be approved by the  
36 NRA. The inoculum for infecting cells used in the production of vaccine should be from a

1 virus working seed without intervening passages in order to ensure that no vaccine is  
2 manufactured that is more than one passage level from the working seed.

3 The virus master seed and working seed lots used to produce live-attenuated RSV vaccine  
4 should be demonstrated to be safe and immunogenic using appropriate laboratory tests. Virus  
5 seed lots should be stored in a dedicated temperature-monitored freezer that ensures stability  
6 upon storage. It is recommended that a large virus working seed lot should be set aside as the  
7 basic material for use by the manufacturer for the preparation of each batch of vaccine.

8 Likewise, the production of chimeric BCG/RSV vaccine should be based on a seed lot  
9 system. The provisions laid down in section A.3 of the recommendations to assure the  
10 quality, safety and efficacy of BCG vaccines apply (16).

#### 11 **A.3.3.2 Control of cell cultures for virus seeds**

12 In agreement with the NRAs, tests on control cell cultures may be required and performed as  
13 described in section A.4.1.

#### 14 **A.3.3.3 Control of virus seeds**

15 The following tests should be performed on virus master and working seed lots.

##### 16 *A.3.3.3.1 Identity test*

17 Each virus master and working seed lot should be identified as RSV vaccine seed virus by  
18 immunological assay or by molecular methods approved by the NRA.

##### 19 *A.3.3.3.2 Genetic/ phenotypic characterization*

20 Each seed should be characterized by full-length consensus nucleotide sequence  
21 determination and by other relevant laboratory and animal tests, which will provide  
22 information on the consistency of each virus seed. These tests are required to compare the  
23 new vaccine strain with the wild type and/or parent seed.

24 Mutations introduced during the derivation of each vaccine strain should be maintained in the  
25 consensus sequence, unless spontaneous mutations induced during tissue culture passage  
26 were shown to be without effect in nonclinical and small-scale clinical trials. Some variations  
27 in the nucleotide sequence of the virus population during passaging are to be expected, but  
28 the determination of what is acceptable should be based on experience in production and  
29 clinical use.

30 Genetic stability of the vaccine seed to a passage level comparable to final virus bulk and  
31 preferably beyond the anticipated maximum passage level should be demonstrated.

32 Phenotypic characterization should focus on the markers for attenuation/modification and  
33 expression of the heterologous antigens.

34 For any new master seed and working seed, it is recommended that the first three consecutive  
35 bulk vaccine lots should be analyzed for consensus genome sequence changes from the virus  
36 master seed. The nucleotide sequence results should be used to demonstrate the consistency  
37 of the production process.

1 A.3.3.3.3 *Tests for bacteria, fungi, mycoplasmas and mycobacteria*

2 Each virus master and working seed lot should be shown to be free from bacterial, fungal and  
3 mycoplasmal contamination by appropriate tests as specified in the General requirements for  
4 the sterility of biological substances (60, 63). Nucleic acid amplification techniques alone or  
5 in combination with cell culture, with an appropriate detection method, may be used as an  
6 alternative to one or both of the compendial mycoplasma detection methods after suitable  
7 validation and agreement with the NRA (14).

8 A.3.3.3.4 *Tests for adventitious agents*

9 Each virus master and working seed lot should be tested in cell culture for adventitious agents  
10 relevant to the passage history of the seed virus. Where antisera are used to neutralize the  
11 recombinant RSV virus, the antigen used to generate the antiserum should be produced in cell  
12 culture from species different from that used for the production of the vaccine, and it should  
13 be free from extraneous agents. Suitable indicator cells should be selected to enable the  
14 detection of viruses. The decision on the choice of the indicator cells should be guided by the  
15 species and legacy of the production cell substrate, taking into consideration the types of  
16 viruses to which the cell substrate could potentially have been exposed. Infection with  
17 potential viruses should then be detected by a suitable assay method. For test details, refer to  
18 section B.11 of WHO's recommendation for the evaluation of animal cell cultures as  
19 substrates for the manufacture of biological medicinal products and for the characterization of  
20 cell banks (14). Additional testing for adventitious viruses may be performed using validated  
21 nucleic acid amplification techniques.

22 Each virus seed lot is tested in suckling mice if the risk assessment indicates that this test  
23 provides a risk mitigation taking into account the overall testing package. Inoculate no fewer  
24 than 20 suckling mice, each less than 24 h old, intracerebrally with 0.01 mL and  
25 intraperitoneally with at least 0.1 mL of the virus seed lot. Observe the suckling mice daily  
26 for at least 4 weeks. Carry out an autopsy of all suckling mice that die after the first 24 h of  
27 the test or that show signs of illness and examine for evidence of viral infection by direct  
28 macroscopical observation. The virus seed lot passes the test if no suckling mice show  
29 evidence of infection attributable to the seed lot. The test is not valid unless at least 80 per  
30 cent of the original inoculated suckling mice survive the observation period.

31 New molecular methods with broad detection capabilities are being developed for  
32 adventitious agent detection. These methods include: (i) degenerate nucleic acid  
33 amplification techniques for whole virus families with analysis of the amplicons by  
34 hybridization, sequencing or mass spectrometry; (ii) nucleic acid amplification techniques  
35 with random primers followed by analysis of the amplicons on large oligonucleotide micro-  
36 arrays of conserved viral sequencing or digital subtraction of expressed sequences; and (iii)  
37 high throughput sequencing. These methods may be used in the future to supplement existing  
38 methods or as alternative methods to both *in vivo* and *in vitro* tests after appropriate  
39 validation and agreement from the NRA.

1 A.3.3.3.5 *Tests in experimental animals*

2 As outlined in the nonclinical part, studies should be performed in animals to determine that  
3 the master virus seed lots have attenuating features which are maintained throughout  
4 subsequent vaccine process steps. For certain vaccine candidates, it may be required to test, at  
5 least once during nonclinical development, for these features in a relevant animal model. For  
6 a master virus seed lot to be identified as attenuated, identification of attenuation should be  
7 clearly defined.

8 The NRA may decide that such testing does not need to be repeated each time a novel  
9 working seed lot is derived.

10 A.3.3.3.6 *Virus titration for infectivity*

11 The infectivity of each virus master seed and working seed lot should be established using an  
12 assay that is acceptable to the NRA. Manufacturers should determine the appropriate titre  
13 necessary to produce vaccine consistently. Depending on the results obtained in preclinical  
14 studies, plaque assays, CCID<sub>50</sub> assays, immunofocus-forming unit assays or CCID<sub>50</sub> with  
15 different read-outs may be used. All assays should be validated.

16 A.3.3.3.7 *Control of bacterial seeds*

17 For control of bacterial seeds, the provisions laid down in WHO Recommendations to assure  
18 the quality, safety and efficacy of BCG vaccines (16), apply.

19 **A.3.4 Control of source material for subunit/particle-based RSV vaccines**

20 **A.3.4.1 Cells for antigen production**

21 A.3.4.1.1 *Recombinant yeast and bacteria cells*

22 The characteristics of the parental cells and the recombinant strain (parental cell transformed  
23 with the recombinant expression construct) should be fully described and information should  
24 be given on the testing for adventitious agents and on gene homogeneity for the MCB and  
25 WCB. A full description of the biological characteristics of the host cell and expression  
26 vectors should be given. This should include genetic markers of the host cell, the  
27 construction, genetics and structure of the expression vector, and the origin and identification  
28 of the gene that is being cloned. Some techniques (e.g. deep sequencing) allow for the entire  
29 construct to be examined, while others (e.g. restriction enzyme analysis) allow for assessment  
30 of segments (64, 65). The molecular and physiological measures used to promote and control  
31 the expression of the cloned gene in the host cell should be described in detail (65).

32 The nucleotide sequence of the gene insert and the adjacent segments of the vector and  
33 restriction-enzyme mapping of the vector containing the gene insert should be provided as  
34 required by the NRA.

35 Cells must be maintained in a frozen state that allows for recovery of viable cells without  
36 alteration of genotype. The cells should be recovered from the frozen state, if necessary in  
37 selective media, such that the genotype and phenotype consistent with the recombinant

1 (modified) host and vector are maintained clearly identifiable. Cell banks must be identified  
2 and characterized by appropriate tests.

3 Data – for example on plasmid restriction enzyme mapping, nutritional requirements or  
4 antibiotic resistance (if applicable) – that demonstrate the genetic stability of the expression  
5 system during passage of the recombinant WCB up to beyond the passage level used for  
6 production should be provided to and approved by the NRA. Any instability of the expression  
7 system occurring in the seed culture during expansion or after a production-scale run should  
8 be documented. Stability studies should also be performed to confirm cell viability after  
9 retrieval from storage, maintenance of the expression system, etc. These studies may be  
10 performed as part of their routine use in production or may include samples specifically for  
11 that purpose.

#### 12 *A.3.4.1.1.1 Tests of recombinant yeast and bacterial MCB and WCB*

13 MCBs and WCBs should be tested for the absence of bacterial and fungal contamination by  
14 appropriate tests, as specified in the WHO General requirements for the sterility of biological  
15 substances (63), or by a method approved by the NRA, to demonstrate that only the bacteria  
16 or yeast production strain is present and that the MCB and WCB are not contaminated with  
17 other bacteria and fungi.

#### 18 *A.3.4.1.2 Recombinant mammalian cells*

19 If mammalian cells are used, the cell substrates and cell banks should conform with the WHO  
20 Recommendations for the evaluation of animal cell cultures as substrates for the manufacture  
21 of biological medicinal products and for the characterization of cell banks (14) and the WHO  
22 Guidelines on the quality, safety and efficacy of biotherapeutic protein products prepared by  
23 recombinant DNA technology (65), and should be approved by the NRA.

#### 24 *A.3.4.1.3 Insect cells*

25 If insect cells are used for expression of the RSV vaccine antigen with a baculo-based  
26 expression vector, cell substrates and cell banks should conform with the WHO  
27 Recommendations for the evaluation of animal cell cultures as substrates for the manufacture  
28 of biological medicinal products and for the characterization of cell banks (14), as appropriate  
29 to insect cells, and should be approved by the NRA.

30 The MCB is made in sufficient quantities and stored in a secure environment, and is used as  
31 the source material for making the manufacturer's WCB. In normal practice a MCB is  
32 expanded by serial subculture up to a passage number (or population doubling, as  
33 appropriate) selected by the manufacturer and approved by the NRA, at which point the cells  
34 are combined to give a single pool which is distributed into ampoules and preserved  
35 cryogenically to form the WCB. WCBs of insect cells may be used for recombinant  
36 baculovirus seed lot production and antigen expression.

1 *A.3.4.1.3.1 Tests on insect MCB and WCB*

2 Tests of the MCB and WCB should be performed in accordance with the WHO  
3 Recommendations for the evaluation of animal cell cultures as substrates for the manufacture  
4 of biological products and for the characterization cell banks (14). It is important to show that  
5 the cell banks are free from bacteria, fungi, mycoplasmas, mycobacterium species, and  
6 adventitious agents relevant to the species that may be present in raw materials used in its  
7 derivation. For insect cells, special emphasis is put on potential insect-borne human  
8 pathogens (e.g. arboviruses).

9 Insect viruses have not been well characterized compared with other potential adventitious  
10 agents, and thus, less information about them is available, especially about their infectivity,  
11 replicative life-cycles and pathogenicity, if any. It should be kept in mind that infection of  
12 insect cells with some insect viruses may occur without showing cytopathic effect. Testing  
13 may include specific nucleic acid amplification techniques (NAT) such as polymerase chain  
14 reaction (PCR) and other non-specific tests such as co-cultivation. The specificity and  
15 sensitivity of assays should be determined by the manufacturer and approved by the NRA.

16 Full characterization may be performed on either the MCB or the WCB, with more limited  
17 testing on the other, depending on the strategy chosen for testing (14). Scientific advice on  
18 the testing strategy should be sought from the NRA.

19 **A.3.4.2 Recombinant baculovirus master and working seed virus**

20 The recombinant baculovirus expression vector used in the production of RSV vaccine  
21 contains the coding sequence of the respective RSV antigen/antigens and should be identified  
22 by historical records. The historical records will include information on the origin and  
23 identity of the gene being cloned, and on the construction, genetics and structure of the  
24 baculovirus expression vector.

25 The production of vaccine should be based on the recombinant baculovirus master and  
26 working seed lot system. Recombinant baculovirus seed lots should be stored in a dedicated  
27 temperature-monitored refrigerator at a temperature that ensures stability and security.

28 Only recombinant baculovirus seed lots that are approved by the NRA should be used. The  
29 recombinant baculovirus master seed lot should be made in sufficient quantities to last the  
30 lifetime of the vaccine product and should be stored in a secure environment. The master seed  
31 lot is used as the source material for making the manufacturer`s recombinant baculovirus  
32 working seed lot. Either the virus master seed lots or the virus working seed lots should be  
33 fully characterized and tested extensively for adventitious agents, while the other may be  
34 more-limited testing. The testing strategy and seed lots should be approved by the NRA.

35 The manufacturer`s recombinant baculovirus working seed lot is used in the production of  
36 inoculum intermediates and single harvests and is prepared from the master recombinant  
37 baculovirus seed lot. It is recommended that a large lot of recombinant baculovirus working  
38 seed should be set aside as the basic material that the manufacturer should use for the  
39 preparation of each batch of the vaccine. The recombinant baculovirus working seed lot  
40 should be prepared by a defined number of passages from the recombinant baculovirus

1 master seed lot using a method and a passage level from the original virus seed approved by  
2 the NRA. Once the acceptable level of the working seed lot is established, it may not be  
3 changed in making future lots of working seed without approval from the NRA.

4 *A.3.4.2.1 Tests on recombinant baculovirus master and working seed lots*

5 The expression construct should be analyzed using NAT in conjunction with other tests  
6 performed on the purified recombinant protein for assuring the quality and consistency of the  
7 expressed RSV antigens. The genetic stability and stability of expression of the expression  
8 construct should be demonstrated from the baculovirus master seed up to at least the highest  
9 passage level used in production, but preferably beyond this level (64, 65).

10 *A.3.4.2.1.1 Identity*

11 Each baculovirus master and working seed lot should be identified for the inserted RSV gene  
12 using an appropriate molecular method approved by the NRA.

13 *A.3.4.2.1.2 Sterility test for bacteria, fungi and mycoplasmas*

14 The provisions laid down in section A.3.3.3.3 apply.

15 *A.3.4.2.1.3 Tests for adventitious agents*

16 Each recombinant baculovirus seed should be tested in cell cultures for adventitious agents  
17 appropriate to the origin and the passage history of the seed baculovirus. For tests on  
18 recombinant baculovirus-permissive indicator cells, the neutralization of baculovirus is  
19 necessary. Antisera used for this purpose should be free from antibodies that may neutralize  
20 adventitious agents and should preferably be generated by the immunization of specific  
21 pathogen-free animals with an antigen from a source (other than the production cell line)  
22 which has itself been tested for freedom from adventitious agents. The inoculated indicator  
23 cells should be examined microscopically for cytopathic changes. At the end of the  
24 examination period, the cells should also be tested for haemadsorbing viruses (see section  
25 A.4.1.1).

26 It should be noted that infection of indicator cells with insect viruses may not reveal any  
27 cytopathic effect. Additional tests such as PCR, electron microscopy and co-cultivation may  
28 therefore be performed. It is important to show that recombinant baculovirus seed are free of  
29 adventitious agents relevant to the species used in their derivation with a special emphasis on  
30 potential insect-borne human pathogens (e.g. arboviruses). The specificity and sensitivity of  
31 assays should be determined by the manufacturer and approved by the NRA.

32 In general, recombinant baculovirus seeds should be assessed for absence of adventitious  
33 agents that may have been introduced during their production, including those that may be  
34 present in the source materials used at each production stages of the master and working virus  
35 seed lots. Each virus master or working seed should also be tested in animals. For details on  
36 these tests, see the WHO Recommendations for the evaluation of animal cell cultures as  
37 substrates for the manufacture of biological medicinal products and for the characterization of  
38 cell banks (14). However, for ethical reasons, it is desirable to apply the 3R principles

1 (Replacement, Reduction, Refinement) to the use of animals, where scientifically appropriate  
2 (66).

3 New molecular methods with broad detection capabilities are being developed for  
4 adventitious agent detection may also be used in the future to supplement existing methods or  
5 as alternative methods to both *in vivo* and *in vitro* tests after appropriate validation and  
6 agreement from the NRA (see A.3.3.3.4).

7 *A.3.4.2.1.4 Test for mycobacterium spp*

8 Each recombinant seed lot should be tested for mycobacterium spp. The test method and  
9 specifications should be approved by the NRA.

10 *A.3.4.2.1.5 Test of control cells used for production of seeds*

11 Tests on control cell cultures should be undertaken as described in section A.4.1.

12 *A.3.4.2.1.6 Recombinant baculovirus concentration*

13 Each recombinant baculovirus seed should be assayed for infectivity in a sensitive assay in an  
14 insect culture system. The detailed procedures for carrying out tests and interpreting results  
15 should be those approved by the NRA.

16 **A.3.5 Control of source materials for viral-vectored vaccines**

17 **A.3.5.1 Virus vector master and working seed**

18 The use of any viral vector should be based on a seed lot system, analogous to the cell  
19 banking system used for production cells described below.

20 The rationale behind the development of the viral-vectored vaccine should be described. The  
21 origin of all genetic components of the vaccine and their function should be specified;  
22 overall, this should allow a clear understanding of the functionality of the vaccine and how it  
23 is attenuated or made replication-incompetent by genetic engineering. All intended and  
24 unintended genetic modifications such as site-specific mutations, insertions, deletions and/or  
25 rearrangements to any component should be detailed in comparison with their natural  
26 counterparts. For a vaccine construct that incorporated genetic elements to control the  
27 expression of a transgene in, for example, a tissue-specific manner, evidence should be  
28 provided on product characterization and control to demonstrate such specificity. RNA  
29 editing should be discussed if relevant.

30 All steps from derivation of material that ultimately resulted in the candidate vaccine to the  
31 virus master seed level should be described. A diagrammatic description of the components  
32 used during vaccine development should be provided and annotated. The method of  
33 construction of the viral-vectored vaccine should be described and the final construct should  
34 be genetically characterized according to the principles discussed in this section.

35 The cloning strategy should ensure that if any antibiotic resistance genes are used during the  
36 development of the initial genetic construct, these are absent from the viral vaccine seed.

1 The nucleotide sequence of the gene insert and of adjacent segments of the vector, and  
2 restriction-enzyme mapping of the vector containing the gene insert, should be provided.  
3 Genetic stability of the vector with the recombinant construct should be demonstrated. The  
4 stability of a recombinant vector should be assessed by comparing the sequence of the vector  
5 at the level of a virus pre-master seed or virus master seed to its sequence at, or preferably  
6 beyond, the anticipated maximum passage level. The sequence should ensure that no changes  
7 occur to regions involved in attenuation (where known) or replication deficiency. Any  
8 modifications to the sequence of the heterologous insert should be investigated and  
9 demonstrated to have no impact on the resulting amino acid sequence (i.e. it should be a  
10 conservative change) or to the antigenic characteristics of the vaccine.

11 *A.3.5.1.1 Tests on virus master seed and working seed*

12 The virus master seed should be characterized as fully as possible. If this characterization is  
13 limited (e.g. because of limited quantities of material), the virus working seed should be fully  
14 characterized in addition to the limited characterization of the virus master seed. It should be  
15 noted that it would not be feasible to manufacture from the virus master seed in these  
16 circumstances.

17 Virus master seed characterization will include a description of the genetic and phenotypic  
18 properties of the vaccine vector. This should include at least a theoretical comparison with  
19 the parental viral vector/virus and is particularly important where vector modification might  
20 affect attenuation or replication competency, pathogenicity, and tissue tropism or species  
21 specificity of the vaccine vector compared with the parental vector.

22 Genetic characterization will involve nucleotide sequence analysis of the vaccine vector.  
23 Restriction mapping, southern blotting, PCR analysis or DNA fingerprinting will also be  
24 useful. Individual elements involved in expression of the heterologous gene(s) (including  
25 relevant junction regions) should be described and delineated.

26 Genetic stability of the vaccine seed to a passage level comparable to final virus bulk and  
27 preferably beyond the anticipated maximum passage level should be demonstrated.

28 Phenotypic characterization should focus on the markers for attenuation/modification and  
29 expression of the heterologous antigen(s), and should generally be performed in vitro under  
30 conditions that allow detection of revertants (including the emergence of replication-  
31 competent vectors from replication-incompetent vectors during passage). However, other  
32 studies including antigenic analysis, infectivity titre, ratio of genome copies to infectious  
33 units (for replicating vaccines) and in vitro yield should form part of the characterization. For  
34 replicating vectors, in vivo growth characteristics in a suitable animal model may also be  
35 informative and should be performed if justified. For some vectors (e.g. adenoviral vectors),  
36 the particle number should be measured in addition to the infectivity titre.

37 A subset of the above studies should be applied to the virus working seed lot and justification  
38 for the chosen subset should be provided.

1 Information should be given on the testing for adventitious agents.

2 **A.3.5.2 Cell substrates**

3 The cell substrate for the manufacture of a viral-vectored RSV vaccine should be based on  
4 controlled primary cells or a cell banking system.

5 *A.3.5.2.1 Primary cells*

6 Primary cells are used within the first passage after establishment from the original tissue, so  
7 it is not possible to carry out extensive characterization of the cells prior to their use.

8 Therefore, additional emphasis is placed on the origin of the tissues from which the cell line  
9 is derived. Tissues should be derived from healthy animals/embryonated eggs subjected to  
10 veterinary and laboratory monitoring to certify the absence of pathogenic agents. Whenever  
11 possible, donor animals/embryonated eggs should be obtained from closed, specific  
12 pathogen-free colonies or flocks. Animals used as tissue donors should not have been used  
13 previously for experimental studies. Birds/animals should be adequately quarantined for an  
14 appropriate period of time prior to use for the preparation of cells.

15 Information on materials and components used for the preparation of primary cell substrates  
16 should be provided, including the identity and source of all reagents of human or animal  
17 origin. A description of testing performed on components of animal origin to certify the  
18 absence of detectable contaminants and adventitious agents should be included.

19 The methods used for the isolation of cells from tissue, establishment of primary cell cultures  
20 and maintenance of cultures should be described.

21 *A.3.5.2.1.1 Tests on primary cells*

22 The nature of primary cells precludes extensive testing and characterization before use.  
23 Testing to demonstrate the absence of adventitious agents (bacteria, fungi, mycoplasma,  
24 mycobacteria, and viruses) is therefore conducted concurrently and should include, where  
25 relevant, the observation of control (uninfected) cultures during parallel fermentations to the  
26 production runs. The inoculation of culture fluid from production and (where available)  
27 control cultures into various susceptible indicator cell cultures capable of detecting a wide  
28 range of relevant viruses, followed by examination for cytopathic changes and testing for the  
29 presence of hemadsorbing viruses, should also be performed routinely for batch release, in  
30 addition to pharmacopoeial testing for bacterial, fungi and mycoplasma in the control (if  
31 relevant) and production cultures. Mycoplasma and specific viruses of notable concern may  
32 also be tested for by additional methods such as PCR.

33 In the specific case of chick embryo fibroblasts (CEFs), the tissue should be sourced from  
34 specific pathogen-free (SPF) eggs. After preparation, the CEF cells should be tested for  
35 bacterial, fungal, mycobacterial, and mycoplasma contamination, for viral adventitious agents  
36 by in vitro assay using three cell lines, including avian and human cells (such as CEF, MRC-  
37 5 and Vero cells), and for viral adventitious agents by in vivo assay using mice and  
38 embryonated eggs, for Avian Leucosis Virus contamination and for the presence of  
39 retroviruses by measuring the reverse transcriptase (RT) activity. Testing should take into  
40 consideration that CEF cells are expected to be positive for RT activity due to the presence of

1 endogenous avian retroviral elements not associated with infectious retroviruses. Use of an  
2 amplification strategy (e.g., co-culturing of RT positive fluids on a RT-negative, retrovirus  
3 sensitive cell line) to determine whether a positive RT result can be attributed to the presence  
4 of an infectious retroviral agent may be necessary.

5 *A.3.5.2.2 Continuous cell lines: Master and working cell banks*

6 The cell banks should conform to the Recommendations for the evaluation of animal cell  
7 cultures as substrates for the manufacture of biological medicinal products and for the  
8 characterization of cell banks (14).

9 An appropriate history of the cell bank should be provided. This should include the origin,  
10 identification, developmental manipulations and characteristics for the purposes of the  
11 vaccine. Full details of the construction of packaging cell lines should be given, including the  
12 nature and identity of the helper viral nucleic acid and its encoded proteins/functions. If  
13 available, information on the chromosomal location of the helper viral nucleic acid should  
14 also be provided.

15 Prior to licensure, genetic stability of the cell lines should be demonstrated. The stability of a  
16 production cell line should be assessed by comparing the critical regions of the cell line (and  
17 flanking regions) at the level of a pre-cell or master cell to its sequence at or beyond the  
18 anticipated maximum passage level. Stability studies should also be performed to confirm  
19 cell viability after retrieval from storage, maintenance of the expression system, etc. These  
20 studies may be performed as part of routine use in production or may include samples taken  
21 specifically for this purpose.

22 With regard to cell cultures, the maximum number of passages (or population doublings)  
23 allowable from the MCB through the WCB, and through production in cells, should be  
24 defined on the basis of the stability data generated above, and should be approved by the  
25 NRA.

26 *A.3.5.2.2.1 Tests on MCB and WCB*

27 MCBs and WCBs should be tested for the absence of bacterial, fungal, mycobacterial,  
28 mycoplasmal and viral contamination by appropriate tests, as specified in Recommendations  
29 for the evaluation of animal cell cultures as substrates for the manufacture of biological  
30 medicinal products and for the characterization of cell banks (14), or by an alternative  
31 method approved by the NRA to demonstrate that the MCB and WCB are not contaminated  
32 with adventitious agents.

33 Nucleic acid amplification techniques alone or in combination with cell culture, with an  
34 appropriate detection method, might be used as an alternative to one or both of the  
35 pharmacopoeial mycoplasma detection methods after suitable validation and the agreement of  
36 the NRA (14).

37 The cell bank should be tested for tumorigenicity if it is of mammalian origin, as described in  
38 Section B of the Recommendations for the evaluation of animal cell cultures as substrates for

1 the manufacture of biological medicinal products and for the characterization of cell banks  
2 (14). The tumorigenic potential of the cell bank(s) should be described and strategies to  
3 mitigate risks that might be associated with this biological property should be described and  
4 justified.

#### 5 **A.4 Control of production for live-attenuated/chimeric RSV vaccines**

##### 6 **A.4.1 Control of production cell cultures**

7 In case a mammalian or animal cell line is used for propagation of the vaccine which has  
8 been thoroughly characterized and has been used for the production of other vaccines, the  
9 NRA might decide that no control cells might be necessary.

10 In case mammalian or animal cells are used for propagation of the vaccine and the NRA  
11 requires the use of control cells, the following procedures should be followed. From the cells  
12 used to prepare cultures for production of vaccine, a fraction equivalent to at least 5% of the  
13 total of 500 ml of cell suspension, or 100 million cells, should be used to prepare uninfected  
14 control cell cultures.

15 These control cultures should be observed microscopically for cytopathic and morphological  
16 changes attributable to the presence of adventitious agents for at least 14 days at the  
17 respective temperature used for the production cell culture after the day of inoculation of the  
18 production cultures, or until the time of final virus harvest, whichever comes first. At the end  
19 of the observation period, supernatant fluids collected from the control culture should be  
20 tested for adventitious agents as described below. Samples that are not tested immediately  
21 should be stored at -60°C or lower, until such tests can be conducted.

22 If adventitious agent testing of control cultures yields a positive result, the harvest of virus  
23 from the parallel vaccine virus-infected cultures should not be used for vaccine production.  
24 For the test to be valid, 20% or fewer of the control culture flasks should have been  
25 discarded, for any reason, by the end of the test period.

##### 26 **A.4.1.1 Test for haemadsorbing viruses**

27 At the end of the observation period, a fraction of control cells comprising not less than 25%  
28 of the total should be tested for the presence of haemadsorbing viruses, using guinea-pig red  
29 blood cells. If the guinea-pig red blood cells have been stored prior to use in the  
30 haemadsorption assay, the duration of storage should not have exceeded seven days, and the  
31 storage temperature should have been in the range of 2-8°C.

32 In some countries, the NRA requires that additional tests for haemadsorbing viruses should  
33 be performed using red blood cells from other species, including those from human (blood  
34 group O), monkeys, and chickens (or other avian species). For all tests, readings should be  
35 taken after incubation for 30 minutes at 0-4°C, and again after a further incubation for 30  
36 minutes at 20-25°C. The test for monkey red cells should be read once more after additional  
37 incubation for 30 minutes at 34-37°C.

1 For the test to be valid, 20% or fewer of the control culture flasks should have been  
2 discarded, for any reason, by the end of the test period.

#### 3 **A.4.1.2 Test for adventitious agents in control cell-culture fluids**

4 Supernatant culture fluids from each of the control cell culture vessels should be tested for  
5 adventitious agents. A 10-ml sample of the pool should be tested in the same cell substrate,  
6 but not the same cell batch as that used for vaccine production, and an additional 10 ml  
7 samples in relevant cell systems.

8 Each sample should be inoculated into cell cultures in such a way that the dilution of the  
9 pooled fluid in the nutrient medium does not exceed 1:4. The area of the cell sheet should be  
10 at least 3 cm<sup>2</sup> per ml of pooled fluid. A least one bottle of each kind of cell culture should not  
11 be inoculated to serve as a control.

12 The inoculated cultures should be incubated at a temperature of 35-37°C and should be  
13 examined at intervals for cytopathic effects over a period of at least 14 days.

14 Some NRAs require that, at the end of this observation period, a subculture is made in the  
15 same culture system and observed for a least an additional seven days. Furthermore, some  
16 NRAs require that these cells should be tested for the presence of haemadsorbing viruses.

17 The tests are satisfactory if no cytopathic changes attributable to adventitious agents are  
18 detected in the test sample. For the test to be valid, 20% or less of the culture vessels should  
19 have been discarded for nonspecific accidental reasons at the end of the test.

#### 20 **A.4.1.3 Identity of cells**

21 Depending on the type of cells used at the production level, the cells –especially those  
22 propagated from the working cell bank – should be identified by means of tests approved by  
23 the NRA.

24 Suitable methods include but are not limited to biochemical tests (e.g. isoenzyme analyses),  
25 immunological tests (e.g. major histocompatibility complex assays), cytogenetic tests (e.g.  
26 for chromosomal markers) or tests for genetic markers (e.g. DNA fingerprinting).

### 27 **A.4.2 Production and harvest of monovalent virus**

#### 28 **A.4.2.1 Cells used for virus inoculation**

29 On the day of inoculation with the seed virus, each production cell culture flask (or bottle) or  
30 control cell culture flask should be examined for cytopathic effects potentially caused by  
31 infectious agents. If the examination shows evidence of an adventitious agent, all cell cultures  
32 should be discarded.

33 If animal serum is used in the growth medium, the medium should be removed from the cell  
34 culture either before or after inoculation with the virus working seed. The cell cultures should  
35 be rinsed, and the growth medium replaced with serum-free maintenance medium.

1 Penicillin and other beta-lactam antibiotics should not be used during any stage of  
2 manufacturing. Minimal concentrations of other suitable antibiotics may be used if approved  
3 by the NRA.

4 *A.4.2.1.1 Virus inoculation*

5 Cell cultures are inoculated with virus working seed at a defined optimal multiplicity of  
6 infection (MOI). After viral adsorption, cell cultures are fed with maintenance medium, and  
7 are incubated at a temperature within a defined range and for a defined period.

8 The MOI, temperature range and duration of incubation depends on the vaccine strain and the  
9 production method, and specifications should be validated by each manufacturer.

10 **A.4.2.2 Monovalent virus harvest pools**

11 Vaccine virus is harvested within a defined period post-inoculation. A monovalent harvest  
12 may be the result of one of more single harvests or multiple parallel harvests. Samples of  
13 monovalent harvest pools should be taken for testing and should be stored at a temperature of  
14 -60°C or below. The manufacturer should submit data to support the conditions chosen for  
15 these procedures.

16 The monovalent harvest pool may be clarified or filtered to remove cell debris and stored at a  
17 temperature that ensures stability before being used to prepare the final bulk for filling. The  
18 sponsor should provide data to support the stability of the bulk throughout the duration of the  
19 chosen storage conditions, as well as to support the choice of storage temperature.

20 Harvests derived from continuous cell lines should be subjected to further purification to  
21 minimize the amount of cellular DNA, and/or treatment with DNase to reduce size of the  
22 DNA.

23 **A.4.2.3 Tests on single virus harvest pools**

24 *A.4.2.3.1 Identity*

25 A test for identity should be performed if this has not been done on the virus pool or on the  
26 bulks material.

27 *A.4.2.3.2 Tests for bacteria, fungi, mycoplasmas and mycobacteria*

28 A sample of each single-harvest or virus-culture supernatant should be tested for bacterial,  
29 fungal and mycoplasmal sterility as specified in General requirements for the sterility of  
30 biological substances (60,63), or by an alternative method approved by the NRA.

31 Nucleic acid amplification techniques alone or in combination with cell culture, with an  
32 appropriate detection method, might be used as an alternative to one or both of the  
33 pharmacopoeial mycoplasma detection methods after suitable validation and the agreement of  
34 the NRA (14).

35 The method for testing mycobacteria should be approved by the NRA. Nucleic acid  
36 amplification techniques might be used as an alternative to the microbiological culture  
37 method for mycobacteria after validation by the manufacturer and agreement by the NRA.

1     A.4.2.3.3     *Tests for adventitious agents*

2     If the single harvests are not pooled the same day, a test for adventitious agents should be  
3     performed on each single harvest.

4     A.4.2.3.4     *Virus titration for infectivity*

5     In the case of pooling of viral harvests, the virus content of each single harvest should be  
6     tested with an infectivity assay. Minimum acceptable titres should be established for use of a  
7     single harvest in the preparation of a virus pool or final bulk, and to confirm the consistency  
8     of production.

9     A.4.2.3.5     *Residual bovine serum albumin content*

10    If bovine serum is used during production, then residual bovine serum albumin (BSA)  
11    content should be measured and a maximum permitted concentration should be set and  
12    approved by the NRA.

13    In some countries, tests are carried out to estimate the amount of residual animal serum in the  
14    purified bulk or in the final vaccine. Other serum proteins may also be measured.

15    A.4.2.3.6     *Test for consistency of virus characteristics*

16    Recombinant RSV candidate vaccine lots should be tested and compared to the Master seed,  
17    working seed, or another suitable comparator, to ensure that the vaccine virus has not  
18    undergone critical changes during its multiplication in the production culture system.

19    Relevant assays should be identified in nonclinical studies and may include e.g. virus yield in  
20    cell culture, growth in primary human bronchial epithelial cells or plaque morphology. Other  
21    identifying characteristics may also be applicable.

22    Assays for the attenuation of recombinant RSV virus should also be conducted and compared  
23    to the control results.

24    The test for consistency may be omitted as a routine test once the consistency of the  
25    production process has been demonstrated on a significant number of batches in agreement  
26    with the NRA. Where there is a significant change in the manufacturing process, the test  
27    should be reintroduced.

28    **A.4.3 Final bulk**

29        **A.4.3.1 Preparation of virus pool or bulk material**

30    Only virus harvests meeting the recommendations for sterility and virus content should be  
31    pooled. The operations necessary for preparing the final bulk should be conducted in a  
32    manner that avoids contamination of the product.

33    In preparing the final bulk, any excipients (such as diluent or stabilizer) that are added to the  
34    product should have been shown not to impair the safety and efficacy of the vaccine in the  
35    concentration used, as approved by the respective NRA.

1       **A.4.3.2 Tests on virus pool or bulk material**

2       *A.4.3.2.1 Test for residual materials*

3 Each manufacturer should demonstrate, by testing each virus pool or by validating the  
4 manufacturing process that any residual materials used in the manufacturing – such as animal  
5 serum, antibiotics, residual cellular DNA and DNase – are consistently reduced to a level  
6 acceptable to the NRA.

7 The host-cell protein profile should be examined as part of the characterization studies (14).

8 For viruses grown in continuous cell-line cells, purified bulk material should be tested for the  
9 amount of residual cellular DNA, and the total amount of cell DNA per dose of vaccine  
10 should be not more than the upper limit agreed by the NRA. If this is technically feasible, the  
11 size distribution of the DNA should be examined as a characterization test, taking into  
12 account the amount of DNA detectable using state of the art methods approved by the NRA.

13       *A.4.3.2.2 Sterility*

14 Except where it is subject to in-line sterile filtration as part of the filling process, the final  
15 bulk suspension should be tested for bacterial and fungal sterility according to the General  
16 requirements for sterility of biological substances (60,63), or by an alternative method  
17 approved by the NRA.

18       *A.4.3.2.3 Storage*

19 Prior to filling, the final bulk suspension should be stored under conditions shown by the  
20 manufacturer to allow the final bulk to retain the desired viral potency.

21       **A.4.4 Control of production of chimeric RSV vaccines**

22 For chimeric RSV vaccines grown on cell culture, the provisions laid down in sections A.4.1  
23 to A.4.3 apply.

24 For chimeric RSV vaccines grown in eggs, most of the provisions laid down in  
25 Recommendations to assure the quality, safety and efficacy of influenza vaccines (human,  
26 live attenuated) for intranasal administration (15) apply.

27 For chimeric BCG/RSV vaccines, most of the provisions laid down in sections A.4 of the  
28 recommendations to assure the quality safety and efficacy of BCG vaccines (16) apply.

29       **A.5 Control of production for subunit/particle-based RSV vaccines**

30       **A.5.1 Production up to single antigen harvest**

31       **A.5.1.1 Microbial purity**

32 Microbial purity in each fermentation vessel should be monitored at the end of the production  
33 run by methods approved by the NRA.

34 Any agent added to the fermenter or bioreactor with the intention to feed cells or to induce or  
35 increase cell density should be approved by the NRA. Penicillin and other beta-lactams

1 should not be used at any stage of manufacture because of their nature as highly sensitizing  
2 substances in humans. Other antibiotics may be used at any stage of manufacture, provided  
3 that the quantity present in the final products is acceptable to the NRA.

#### 4 **A.5.1.2 Production of antigen if mammalian or insect cells are used**

5 Some mammalian cell lines have been generated which constitutively express the desired  
6 antigen.

7 In other technologies, cell cultures are expanded to an appropriate scale and are inoculated  
8 with the respective expression vector (e.g. recombinant baculovirus) at a defined MOI. After  
9 adsorption, the cell cultures are fed with maintenance medium and incubated within a defined  
10 temperature range and for a defined period of time.

11 The range of MOI, temperature, pH and incubation period will depend on the cell substrate  
12 and the specific characteristics of the expression vector. A defined range should be  
13 established by the manufacturer and approved by the NRA.

14 A single harvest is obtained within a defined time period post-inoculation. Several antigen  
15 harvests may be pooled. If multiple antigen harvests are pooled, each single antigen harvest  
16 should be sampled for testing, stabilized and stored under suitable conditions until pooling.  
17 Penicillin and other beta-lactams should not be used at any stage of manufacture because of  
18 their nature as highly sensitizing substances in humans. Other antibiotics may be used at any  
19 stage of manufacture, provided that the quantity present in the final product is acceptable to  
20 the NRA. Samples of single harvest pools should be taken for testing and stored at a  
21 temperature of -60°C or below.

#### 22 **A.5.1.3 Tests of control cell cultures**

23 The provisions laid down in section A.4.1 apply. However, it should be noted that the control  
24 cell cultures should be incubated under conditions that are essentially similar to those used  
25 for the production cultures, with the agreement of the NRA. For insect cells, the incubation  
26 time of at least 14 days might not apply because of the specifics of cells cultivated in  
27 suspension but it should not be less than the time of collection of the single antigen harvest.

##### 28 *A.5.1.3.1 Tests for haemadsorbing viruses*

29 The provision laid down in section A.4.1.1 applies. However, for cells cultivated in  
30 suspension, the test for presence of haemadsorbing viruses is not technically feasible. A test  
31 for presence of haemagglutinating agents using guinea-pig red blood cells is therefore  
32 required with spent control cell culture fluid.

##### 33 *A.5.1.3.2 Tests for other adventitious agents*

34 The provisions laid down in section A.4.1 apply.

##### 35 *A.5.1.3.3 Test for identity of cells*

36 The provisions laid down in section A.4.1.3 apply.

1 **A.5.2 Purified antigen bulk**

2 The purification process can be applied to a single antigen harvest, part of a single antigen  
3 harvest or a pool of single antigen harvests, and should be approved by the NRA. The  
4 maximum number of harvests that may be pooled should be defined by the manufacturer and  
5 approved by the NRA. Adequate purification may require several purification steps based on  
6 different biophysical and/or biochemical principles and may involve disassembly and  
7 reassembly of nanoparticles. The entire process used for the purification of the antigen should  
8 be appropriately validated and should be approved by the NRA. Any reagents added during  
9 the purification processes (such as DNAase) should be documented.

10 The purified monovalent antigen bulk can be stored under conditions shown by the  
11 manufacturer to allow it to retain the desired biological activity. Intermediate hold times  
12 should be approved by the NRA.

13 **A.5.2.1 Tests on the purified antigen bulk**

14 All quality-control release tests for the purified antigen bulk should be validated and should  
15 be shown to be suitable for the intended purpose. Assay validation or qualification should be  
16 appropriate for the stage of the development life cycle. Additional tests on intermediates  
17 during the purification process may be used to monitor for consistency and safety.

18 *A.5.2.1.1 Identity*

19 A test for identity should be performed by a suitable method.

20 *A.5.2.1.2 Purity*

21 The degree of purity of the antigen bulk and levels of residual host cell proteins should be  
22 assessed by suitable methods.

23 *A.5.2.1.3 Protein content*

24 Each purified antigen bulk should be tested for the total protein content using a suitable  
25 method. Alternatively, the total protein content may be calculated from measurement of an  
26 earlier process intermediate.

27 *A.5.2.1.4 Antigen content*

28 The antigen content may be measured on the purified monovalent antigen bulk or the  
29 adsorbed monovalent antigen bulk by an appropriate method.

30 The ratio of antigen content to protein content may be calculated and monitored for each  
31 purified antigen bulk.

32 International Standards and Reference Reagents for the control of RSV vaccine antigen are  
33 not available. Therefore, product-specific reference preparations may be used.

34 *A.5.2.1.5 Sterility test for bacteria and fungi*

35 The purified antigen bulk should be tested for bacterial and fungal sterility, as specified in the  
36 WHO general requirements for the sterility of biological substances (60,63), or by an  
37 alternative method approved by the NRA.

1 Alternatively, if the antigen is adsorbed onto an adjuvant and if the purified bulk is not stored  
2 prior to adsorption, the test can be performed on the related adsorbed antigen bulk.

3 *A.5.2.1.6 Percentage of intact RSV antigens*

4 If the integrity of certain RSV proteins (e.g. the F protein) is a critical quality parameter, this  
5 should be carefully monitored. The percentage of intact RSV protein should be assessed by a  
6 suitable method. The purity assay may also serve to assess the integrity of the respective  
7 conformation. Here, the percentage of e.g. intact F trimer is the ratio of intact F trimer to total  
8 F protein expressed as a percentage.

9 *A.5.2.1.7 Nanoparticle size and structure*

10 In the case of particle-based vaccines such as F protein nanoparticles, the size and structure of  
11 the nanoparticles are to be established and monitored. This test may be omitted for routine lot  
12 release once consistency of production has been established, in agreement of the NRA.

13 Suitable methods for assessing nanoparticle size and structure include dynamic light  
14 scattering, size-exclusion chromatography – high performance liquid chromatography (SEC-  
15 HPLC), transmission electron microscopy (TEM) and Disc Centrifugation Size analysis  
16 (DCS). DCS allows determination of the hydrodynamic radius of particles which sediment in  
17 a sucrose gradient in reference to spherical particles of known sizes.

18 *A.5.2.1.8 Tests for reagents used during production or other phases of manufacture*

19 A test should be carried out to detect the presence of any potentially hazardous reagents used  
20 during manufacture using methods approved by the NRA. This test may be omitted for  
21 routine lot release upon demonstration that the process consistency eliminates the reagent  
22 from the purified monovalent antigen bulks.

23 *A.5.2.1.9 Tests for residual DNA derived from the expression system*

24 The amount of residual host cell DNA derived from the expression system should be  
25 determined in the purified antigen bulk by suitable sensitive methods. The level of host cell  
26 DNA should not exceed the maximum level agreed with the NRA, taking into consideration  
27 issues such as those discussed in The WHO Recommendations for the evaluation of animal  
28 cell cultures as substrates for the manufacture of biological medicinal products and for the  
29 characterization of cell banks (14).

30 These tests may be omitted for routine lot release upon demonstration that the process  
31 consistently inactivated the biological activity of residual DNA or reduces the amount and  
32 size of the contaminating residual DNA present in the purified antigen bulk, as agreed upon  
33 with the NRA.

34 *A.5.2.1.10 Test for residual bovine serum albumin content*

35 If bovine serum is used during production, then residual BSA content should be measured  
36 and a maximum permitted concentration should be set and approved by the NRA.

1     A.5.2.1.11    *Test for viral clearance*

2     When a cell substrate is used for the production of RSV antigens, the production process  
3     should be validated in terms of its capacity to remove and/or inactivate adventitious viruses –  
4     as described in the Q5A guidelines (67). This testing is performed during manufacturing  
5     development or as part of process validation and is not intended as an assessment for lot  
6     release.

7     If a replicating viral vector such as baculovirus is used, then the production process should be  
8     validated for its capacity to eliminate (by removal and/or inactivation) residual recombinant  
9     virus. The provisions as listed in WHO Recommendations for the evaluation of animal cell  
10    cultures as substrates for the manufacture of biological medicinal products and for the  
11    characterization of cell banks (14) should be considered.

12    **A.5.2.2    Addition of adjuvant**

13    The purified antigens may be adsorbed onto an adjuvant such as an aluminum salt, in which  
14    case the adjuvant and the concentration used should be approved by the NRA. If an  
15    alternative adjuvant or additional adjuvant is used, this should also be approved by the NRA.

16    If a novel adjuvant is used that does not involve adsorption of the antigens to the adjuvant,  
17    the term “adjuvanted antigen bulk” may be used.

18    A.5.2.2.1    *Storage*

19    Until the adsorbed antigen bulk is formulated into the final bulk, the suspension should be  
20    stored under conditions shown by the manufacturer to allow it to retain the desired biological  
21    activity (if applicable). Hold times should be approved by the NRA.

22    A.5.2.2.2    *Tests of adsorbed antigen bulk*

23    All tests and specifications for adsorbed antigen bulk, unless otherwise justified, should be  
24    approved by the NRA (if applicable).

25    A.5.2.2.2.1    *Sterility tests for bacteria and fungi*

26    Each adsorbed monovalent antigen bulk should be tested for bacterial and fungal sterility (if  
27    applicable), as specified in the WHO General requirements for the sterility of biological  
28    substances (60,63), or by an alternative method approved by the NRA.

29    A.5.2.2.2.2    *Bacterial endotoxins*

30    The adsorbed antigen bulk should be tested for bacterial endotoxins using a method approved  
31    by the NRA (if applicable).

32    If it is inappropriate to test the adsorbed antigen bulk, the test should be performed on the  
33    purified antigen bulk prior to adsorption and should be approved by the NRA.

34    A.5.2.2.2.3    *Identity*

35    The adsorbed monovalent antigen bulk should be identified as the correct RSV antigen by a  
36    suitable method (for example an immunological assay), if applicable. The test for antigen  
37    may also serve as identity test.

1 *A.5.2.2.2.4 Adjuvant concentration*

2 Adsorbed antigen bulk may be assayed for adjuvant content until production consistency is  
3 demonstrated, if applicable.

4 *A.5.2.2.2.5 Degree of adsorption*

5 The degree of adsorption (completeness of adsorption) of the adsorbed antigen bulk should  
6 be assessed, if applicable. This test may be omitted upon demonstration of process  
7 consistency and should be approved by the NRA.

8 *A.5.2.2.2.6 pH*

9 The pH value of the adsorbed antigen bulk may be monitored until production consistency is  
10 demonstrated and should be approved by the NRA (if applicable).

11 *A.5.2.2.2.7 Antigen content*

12 The antigen content of the adsorbed antigen bulk should be measured using appropriate  
13 methods, if applicable. If this test is conducted on purified antigen bulk, it may be omitted  
14 from the testing of the adsorbed antigen bulk.

15 International standards and reference reagents for the control of RSV-F antigen content and  
16 conformation are not available. Therefore, product-specific reference preparations may be  
17 used.

18 **A.6 Control of production of viral-vectored RSV vaccines**

19 The manufacture of monovalent vaccine vectors starts with the amplification of the vaccine  
20 vector seed stock in a suitable cell line. The number of passages between the virus working  
21 seed lot and final viral-vectored vaccine product should be kept to a minimum and should not  
22 exceed the number used for production of the vaccine shown in clinical studies to be  
23 satisfactory, unless otherwise justified and authorized.

24 After harvesting of the culture product, the purification procedure can be applied to a single  
25 harvest or to a pool of single monovalent harvests. The maximum number of single harvests  
26 that may be pooled should be defined on the basis of validation studies.

27 If applicable to the vector platform, a control cell culture should be maintained  
28 simultaneously and in parallel to the production cell culture. Cells should be derived from the  
29 same expansion series but no virus vector should be added to the control cells. Growth  
30 medium and supplements used in culturing should be identical to the production cell culture.  
31 All other manipulations should be as similar as possible

32 **A.6.1 Tests on control cell cultures (if applicable)**

33 When control cells are included in the manufacturing process due to limitations on the testing  
34 of primary cells or viral harvests, or is required by the NRA, the procedures as described in  
35 section A.4.1 should be followed.

1       **A.6.1.1 Tests for haemadsorbing viruses**

2       The same provisions as laid down in section A.4.1.1 apply.

3       **A.6.1.2 Tests for other adventitious agents**

4       At the end of the observation period, a sample of the pooled fluid and/or cell lysate from each  
5       group of control cell cultures should be tested for adventitious agents. For this purpose, an  
6       aliquot of each pool should be tested in cells of the same species as used for the production of  
7       virus, but not cultures derived directly from the production cell expansion series for the  
8       respective batch. If primary cells are used for production, a different batch of that primary  
9       cell type should be used for the test than was used for production. Samples of each pool  
10       should also be tested in human cells and in a simian kidney cell line. At least one culture  
11       vessel of each kind of cell culture should remain uninoculated as a control.

12       The inoculated cultures should be incubated at the appropriate growth temperature and should  
13       be observed for cytopathic effects for a period of at least 14 days.

14       Some NRAs require that, at the end of this observation period, a subculture is made in the  
15       same culture system and observed for at least an additional 7 days. Furthermore, some NRAs  
16       require that these cells should be tested for the presence of haemadsorbing viruses.

17       For the tests to be valid, not more than 20% of the culture vessels should have been discarded  
18       for any reason by the end of the test period.

19       **A.6.2 Single virus harvest**

20       The method of harvesting the vaccine vector should be described and the titre of virus  
21       ascertained. A reference preparation should be included to validate the titration assay.  
22       Minimum acceptable titres should be established for single virus harvest or pooled single  
23       harvests.

24       The integrity of the integrated heterologous gene should be confirmed. An expression assay  
25       method should be described and should be performed on production harvest material or  
26       downstream (e.g. purified final bulk). For example, a Western blot analysis or other methods  
27       to confirm that the integrated gene is present and expressed should be included in the testing  
28       of every batch.

29       **A.6.2.1 Control tests on single virus harvest**

30       Unless otherwise justified, an identity test should be performed on each harvested virus pool.  
31       This should include identity of the expressed heterologous antigen and of the vector virus.

32       Tests for adventitious agents should be performed on each single harvest according to  
33       relevant parts of WHO's Recommendations for the evaluation of animal cells as substrates  
34       for the manufacture of biological medicinal products and for the characterization of cell  
35       banks (14). Additional testing for adventitious viruses may be performed using validated  
36       NATs.

37       New molecular methods with broad detection capabilities are being developed for  
38       adventitious agent detection may also be used in the future to supplement existing methods or

1 as alternative methods to both *in vivo* and *in vitro* tests after appropriate validation and  
2 agreement from the NRA (see A.3.3.3.4).

3 Single or pooled virus harvests should be tested to demonstrate freedom from bacteria, fungi  
4 and mycoplasmas, as specified in the WHO's General requirements for the sterility of  
5 biological substances (60,63), or by an alternative method approved by the NRA.

6 For viral-vectored vaccines, due to the very high titres of the single harvests, alternatives to  
7 the classical testing for adventitious agents may be applied with the approval of the NRA.

8 Provided cell banks and viral seed stocks have been comprehensively tested and released,  
9 demonstrating they are free of adventitious agents, it could be discussed (and should be  
10 agreed with the NRA) whether to evaluate the possibility of delaying *in vitro* testing for  
11 adventitious agents (viral pathogens and mycoplasmas) at the cell harvest or bulk substance  
12 stages or replacing it with validated PCR tests. The method of production should be taken  
13 into account when deciding the nature of any specified viruses being sought.

14 Additional considerations for this approach are that no animal-derived raw materials are used  
15 during manufacture, and that the manufacturing facility operates under a GMP certificate  
16 (where applicable) with assurances that prevention of cross-contamination is well controlled  
17 in the facility. Samples should be retained for testing at a later date if required.

### 18 **A.6.3 Pooled monovalent virus harvest**

19 Single virus harvests may be pooled to form virus pools and from which the final bulk  
20 vaccine will be prepared. The strategy for pooling single virus harvests should be described.  
21 Minimum acceptable titres should be established for use of a single virus harvest in the  
22 preparation of a virus pool or final bulk. All processing of the virus pool should be described  
23 in detail.

#### 24 **A.6.3.1 Control tests on pooled virus harvests**

25 Virus pools should be tested to demonstrate freedom from bacteria, fungi and mycoplasmas,  
26 as specified in the WHO's General requirements for the sterility of biological substances  
27 (60,63). Alternatively, if single virus harvests have been tested to demonstrate freedom from  
28 bacteria, fungi and mycoplasmas, these tests may be omitted on the pooled virus harvests.

### 29 **A.6.4 Monovalent bulk vaccine**

30 The monovalent bulk vaccine can be prepared from one or several virus pools with the same  
31 antigen, or it may be derived from a single virus harvest. Substances such as diluents or  
32 stabilizers or any other excipients added during preparation of the monovalent bulk or the  
33 final bulk vaccine should have been shown not to impair the potency and safety of the  
34 vaccine in the concentrations employed.

35 Penicillin and other beta-lactams should not be used at any stage of manufacture because of  
36 their nature as highly sensitizing substances in humans. Other antibiotics may be used at any

1 stage of manufacture, provided that the quantity present in the final product is acceptable to  
2 the NRA.

#### 3 **A.6.4.1 Control tests on monovalent bulk**

4 The monovalent bulk vaccine should be tested, and consideration should be given to using  
5 the tests listed below, as appropriate for the individual products. Alternatively, if the  
6 monovalent bulk will be held for a short period of time and if appropriate, some of the tests  
7 listed below could be performed on the final bulk or final lot instead. If sufficiently justified,  
8 some tests may be performed on an earlier intermediate instead of on the monovalent bulk.  
9 All quality-control release tests for monovalent bulk should be validated and should be  
10 shown to be suitable for the intended purpose. Assay validation or qualification should be  
11 appropriate for the stage of the development life cycle. Additional tests on intermediates  
12 during the purification process may be used to monitor for consistency and safety.

##### 13 *A.6.4.1.1 Purity*

14 The degree of purity of each monovalent bulk vaccine should be assessed using suitable  
15 methods. The purity of the bulk should be ascertained for fragments, aggregates or empty  
16 particles of the product, as well as for contamination by residual cellular proteins. Residual  
17 cellular DNA levels should also be assessed when non-primary cell substrates are used for  
18 production. The content and size of host cell DNA should not exceed the maximum level  
19 agreed with the NRA, taking into consideration issues such as those discussed in the WHO  
20 Recommendations for the evaluation of animal cell cultures as substrates for the manufacture  
21 of biological medicinal products and for the characterization of cell banks (14).

22 Process additives should also be controlled. In particular, if any antibiotics are added during  
23 vaccine production, the residual antibiotic content should be determined and should be within  
24 limits approved by the NRA.

25 These tests may be omitted for routine lot release upon demonstration that the process  
26 consistently clears the residuals from the monovalent bulk vaccine, subject to the agreement  
27 of the NRA.

##### 28 *A.6.4.1.2 Potency*

29 Each monovalent bulk vaccine should be tested for potency measured by a combination of  
30 the following methods.

##### 31 *A.6.4.1.2.1 Particle number*

32 For relevant vectors (e.g. adenovirus vectors), the total number of virus particles per  
33 milliliter, quantitated by a technique such as qPCR or HPLC, should be provided for each  
34 batch of monovalent bulk.

##### 35 *A.6.4.1.2.2 Infectivity*

36 The infectious virus titre as a measure of active product should be tested for each batch of  
37 monovalent bulk. Direct methods such as a plaque-forming assay, or indirect methods such as  
38 qPCR if suitably correlated with a direct measure of infectivity, could be considered. The  
39 particle/infectivity ratio should also be specified.

1 *A.6.4.1.2.3 Expression of the heterologous antigen in vitro*

2 The ability of the viral particles to express the heterologous gene should be demonstrated e.g.  
3 by a suitable method using an antigen-specific antibody (and/or conformation-specific  
4 antibody if detecting RSV prefusion F antigen] after growth of the vector in a suitable cell  
5 line.

6 *A.6.4.1.3 Identity*

7 Tests used for assessing relevant properties of the viral vector – such as antigen expression,  
8 restriction analysis, PCR with a specific probe or sequencing – will generally be suitable for  
9 assessing the identity of the product.

10 *A.6.4.1.4 Sterility or bioburden tests for bacteria and fungi*

11 Each monovalent bulk should be tested for bacterial and fungal bioburden or sterility.  
12 Bioburden testing should be justified in terms of product safety. Sterility testing should be as  
13 specified in the WHO General requirements for the sterility of biological substances (63), or  
14 by methods approved by the NRA.

15 *A.6.4.1.5 Bacterial endotoxins*

16 Each monovalent bulk should be tested for bacterial endotoxins. At the concentration of the  
17 final formulation of the vaccine, the total amount of residual endotoxins should not exceed  
18 that found in vaccine lots shown to be safe in clinical trials or the amount found in other lots  
19 used to support licensing. The test may be omitted once production consistency has been  
20 demonstrated after agreement from the NRA.

21 *A.6.4.1.6 Reversion to replication competency or loss of attenuation*

22 The viral-vectored RSV vaccines under development are either replication-incompetent in  
23 human cells or adequately attenuated to prevent disease symptoms related to the viral vector  
24 backbone. Although manufacturers generally provide theoretical justifications for why  
25 reversion to competency or virulence is unlikely to occur, low levels of viral particles may  
26 emerge that have gained the complementing gene from the production cell line by an  
27 unknown or poorly characterized mechanism. These viral particles are considered to be an  
28 impurity; it is not known whether they represent a safety concern. Consequently, it should be  
29 shown that the product is still replication-incompetent or fully attenuated (whichever is  
30 relevant) in initial batches of the product.

31 After demonstrating this, it may be possible to omit such tests in future batches provided a  
32 sufficient justification is made (which should include the demonstration of replication  
33 incompetence/attenuation as well as a discussion of why reversion to competency or loss of  
34 attenuation is prevented in future batches).

35 *A.6.4.1.7 Preservative content*

36 The monovalent bulk may be tested for the presence of preservative, if added. The method  
37 used and the permitted concentration should be approved by the NRA.

1 **A.6.5 Final bulk vaccine**

2 Appropriate quantities of different monovalent bulk vaccines should be pooled, mixed and  
3 formulated (if required) to form a homogeneous solution to manufacture the final bulk  
4 vaccine. The final bulk can be made up of one or more batches of a single monovalent  
5 vaccine, to give a monovalent vaccine product.

6 For multi-dose preparations, the need for effective antimicrobial preservation should be  
7 evaluated, taking into account possible contamination during use and the maximum  
8 recommended period of use after opening the container or reconstitution of the vaccine. If an  
9 antimicrobial preservative is used, it should not impair the safety or potency of the vaccine;  
10 the intended concentration of the preservative should be justified and its effectiveness should  
11 be validated (68).

12 **A.6.5.1 Control tests on final bulk vaccine**

13 The following tests should be performed on the final bulk vaccine unless it can be  
14 demonstrated that they are not necessary, such as if filling operations are performed  
15 immediately after manufacture of the final bulk, and on the same site.

16 *A.6.5.1.1 Identity*

17 See A.6.14.1.3.

18 *A.6.5.1.2 Preservative*

19 Where applicable, the amount of antimicrobial preservative should be determined by a  
20 suitable method.

21 *A.6.5.1.3 Sterility tests for bacteria and fungi*

22 Each monovalent bulk should be tested for bacterial and fungal bioburden or sterility.  
23 Bioburden testing should be justified in terms of product safety. Sterility testing should be as  
24 specified in the WHO General requirements for the sterility of biological substances (60,63),  
25 or by methods approved by the NRA.

26 **A.7 Filling and containers**

27 The requirements concerning good manufacturing practices for biological products (55)  
28 should apply to the RSV vaccine filled in the final form (54,55).

29 Care should be taken to ensure that the materials from which the container and, if applicable,  
30 the closure are made do not adversely affect the quality of the vaccine under the  
31 recommended conditions of storage. To this end, a container closure integrity test and  
32 assessment of extractables and/or leachables for the final container closure system are  
33 generally required for qualification of containers and may be needed as part of stability  
34 assessments. Assessment of extractables and leachables might also be required for container  
35 systems used for long-term storage of bulks and formulated bulks.

36 If multi-dose vaccine vials are used and these vaccines do not contain preservative, their use  
37 should be time-restricted, as is the case for reconstituted vaccines such as BCG and measles-  
38 containing vaccines (68). In addition, the multi-dose container should prevent microbial

1 contamination of the contents after opening. The extractable volume of multi-dose vials  
2 should be validated.

3 The manufacturer should provide the NRA with adequate data to prove that the product is  
4 stable under appropriate conditions of storage and shipping.

## 5 **A.8 Control tests on final lot**

### 6 **A.8.1 Inspection of final containers**

7 Each final container (also known as the Drug Product) in each final lot should be inspected  
8 visually, and those showing abnormalities should be discarded.

### 9 **A.8.2 Identity**

10 An identity test should be performed on a least one final, labelled container from each filling  
11 lot - in case of freeze-dried vaccines after reconstitution according to the manufacturer's  
12 instructions for preparing the vaccine for human administration. However, it is not necessary  
13 to perform the genetic identity test on the final lot.

14 For multivalent vaccine each antigen component should be identified.

### 15 **A.8.3 Appearance (if applicable)**

16 The appearance of the liquid or freeze-dried vaccine should be described with respect to form  
17 and color. In the case of freeze-dried vaccines, a visual inspection should be performed on the  
18 freeze-dried vaccine, the diluent, and the reconstituted vaccine.

### 19 **A.8.4 pH**

20 The pH of the final lot should be tested in a pool of final containers, and an appropriate limit  
21 should be set to guarantee virus stability. In the case of freeze-dried vaccines, the pH should  
22 be measured after reconstitution of the vaccine with the diluent.

### 23 **A.8.5 Osmolality (if applicable)**

24 The osmolality of the final bulk may be tested, if appropriate. The osmolality test may be  
25 omitted if performed on the final bulk. Alternative tests (e.g. freezing point) may be used as  
26 surrogate measures for ionic strength/osmolality.

### 27 **A.8.6 Sterility for bacteria and fungi (if applicable)**

28 Each final lot should be tested for bacterial and fungal sterility, as specified in the WHO  
29 General requirements for the sterility of biological substances (60,63), or by a method  
30 approved by the NRA.

### 31 **A.8.7 Bacterial and fungal contamination (if applicable)**

32 For chimeric BCG/RSV vaccines, samples from each final lot should be tested for bacterial  
33 and fungal contamination by appropriate tests as specified in Part A section 5.2 of the General  
34 requirements for the sterility of biological substances (63), or by a validated method approved  
35 by the NRA.

1 **A.8.8 Preservative (if applicable)**

2 Each final lot should be tested for the presence of preservatives, if added.

3 **A.8.9 Residual moisture (if applicable)**

4 The residual moisture in a representative sample of each freeze-dried lot should be  
5 determined by a method approved by the NRA. The upper limit for moisture content should  
6 be approved by the NRA using results from stability testing. Moisture levels of 3% or lower  
7 are generally considered acceptable.

8 **A.8.10 Pyrogenic substances**

9 Each final lot should be tested for pyrogenic substances, if appropriate. Tests for endotoxin  
10 [for example the limulus amoebocyte lysate (LAL) test] should be performed. However, where  
11 there is interference in the test – e.g. because of the addition of an immunostimulant such as  
12 MPL – a test for pyrogens in rabbits should be performed. A suitable validated monocyte-  
13 activation test may also be considered as an alternative to the rabbit pyrogen test.

14 The test is conducted until consistency of production is demonstrated and should be approved  
15 by the NRA.

16 **A.8.11 Adjuvant content (if applicable)**

17 Each final lot should be assayed for adjuvant content, if added. Where aluminum compounds  
18 are used, the amount of aluminum should not exceed 1.25 mg per human dose.

19 **A.8.12 Protein content (if applicable)**

20 The protein content should be determined, if appropriate. Alternatively, this may be  
21 calculated from an earlier process intermediate.

22 **A.8.13 Degree of adsorption (if applicable)**

23 The degree of adsorption to the adjuvant (completeness of adsorption) of each antigen present  
24 in the final bulk should be assessed, if applicable (e.g. if the adjuvant is aluminum salts), and  
25 the limit should be approved by the NRA.

26 This test may be omitted for routine lot release upon demonstration of process consistency,  
27 subject to the approval of the NRA.

28 **A.8.14 Potency**

29 An appropriate quantitative test for potency by an *in vivo* or *vitro* method should be  
30 performed using samples that are representative of each final vaccine lot. In case of freeze-  
31 dried vaccines, the potency content should be determined after the freeze-dried product has  
32 been reconstituted with the approved diluent. The method and the analysis of data from  
33 potency tests should be approved by the NRA. Vaccine potency should be compared with  
34 that of a reference preparation, and the limits of potency should be agreed with the NRA. The  
35 reference preparations used should be approved by the NRA. If an *in vivo* potency test is used  
36 to test the final lot, this test may be omitted on the final bulk.

37 As long as no international standards for the potency of RSV vaccines are available,  
38 manufacturers should establish a product-specific reference preparation that is traceable to a

1 lot of vaccine, or bulks used in the production of such a lot, which has been shown to be  
2 efficacious in clinical trials. The performance of this reference vaccine should be monitored  
3 by trend analysis using relevant test parameters and the reference vaccine should be replaced  
4 when necessary. An acceptable procedure for replacing reference vaccines should be in place  
5 (69).

6 For multivalent vaccines it may be necessary to perform this test on the monovalent bulk  
7 instead if analytical methods cannot distinguish between the different monovalent vaccines in  
8 the final lot.

#### 9 **A.8.15 Purity (if applicable)**

10 If the RSV vaccine is a viral-vectored, testing for purity should be performed unless it is  
11 performed on the monovalent bulk or final bulk vaccine. However, limited purity testing of  
12 the final lot may be required even if purity is tested on the final bulk vaccine if, after taking  
13 the manufacturing process and nature of the vector into consideration, it is considered  
14 possible that the purity may have changed. This should be considered on a case-by-case basis.

#### 15 **A.8.16 Bacterial concentration (if applicable)**

16 If appropriate, in the case of chimeric BCG/RSV vaccines, the total bacterial content of the  
17 reconstituted vaccine should be estimated for each lot by a validated method approved by the  
18 NRA and should have a value range approved by the NRA. The estimate of total bacterial  
19 content may be made either directly, by determining the dry weight of organism, or indirectly  
20 by an opacity method that has been calibrated in relation to the dry weight of the organism.

#### 21 **A.8.17 Extractable volume**

22 It should be demonstrated that the nominal volume on the label can consistently be extracted  
23 from the containers.

#### 24 **A.8.18 Aggregates/particle size (if applicable)**

25 If the RSV vaccine consists of nanoparticles which might be susceptible to aggregation, each  
26 final lot should be examined for particle size/aggregate content at lot release and across the  
27 shelf-life, unless it can be shown that the test is not necessary.

#### 28 **A.8.19 Viability (if applicable)**

29 If appropriate, in the case of chimeric BCG/RSV vaccine, the number of culturable particles  
30 of each final lot should be determined by an appropriate method approved by the NRA (see  
31 section A.6.7 of Recommendations to assure the quality, safety and efficacy of BCG  
32 vaccines) (16).

#### 33 **A.8.20 Thermal stability (if applicable)**

34 If appropriate a thermal stability test should be performed. The purpose of the thermal  
35 stability test is to demonstrate the consistency of production. Additional guidance on the

1 evaluation of vaccine stability is provided in the Guidelines on stability evaluation of  
2 vaccines (70).

3 For live-attenuated/and/or viral vectored vaccines, at least three containers of each final  
4 vaccine lot should be incubated at the appropriate temperature for the appropriate time (e.g.  
5 37°C for seven days). The geometric mean titre (GMT) of infectious virus in the containers  
6 should not have decreased during the period of exposure by more than a specified amount  
7 (e.g. 1 log<sub>10</sub>) that has been justified by the production data and approved by the NRA.  
8 Titration of non-exposed and exposed containers should be carried out in parallel. A reagent  
9 for intra-assay validity control should be included in each assay.

10 For chimeric BCG/RSV vaccines, each final lot should be tested for thermal stability by a  
11 validated method approved by the NRA. If the production consistency is demonstrated, this  
12 test may be omitted on the final lot subject to NRA approval. If performed, the test should  
13 involve the determination of the number of culturable particles before and after the samples  
14 have been held at appropriate temperatures and for appropriate periods. For example, the  
15 thermal stability test may be carried out by taking samples of the vaccine and incubating  
16 them at 37 °C for 28 days (16). The percentage decrease in the number of culturable particles  
17 is then compared with that of samples of the same vaccine lot stored at 2 – 8 °C. The absolute  
18 value should be approved by the NRA.

19 **A.8.21 Residual antibiotics (if applicable)**

20 If any antibiotics were added during production, the content of the residual antibiotics should  
21 be determined, and this should be within the limits approved by the NRA.

22 **A.8.22 Diluent (if applicable)**

23 The recommendations given in WHO's Good manufacturing practices for pharmaceutical  
24 products: main principles (54) should apply to the manufacturing and control of diluents used  
25 to reconstitute freeze-dried RSV vaccines. An expiry date should be established for the  
26 diluent on the basis of stability data. For lot release of the diluent, tests should be done for  
27 identity, appearance, pH, extractable volume, sterility, endotoxin, and the content of key  
28 components.

29 **A.8.23 Safety test (if applicable)**

30 If appropriate, for chimeric BCG/RSV vaccines, tests for absence of virulent mycobacteria  
31 and a test for excessive dermal activity have to be performed (see section A.6.4 of WHO  
32 Recommendations to assure the quality, safety and efficacy of BCG vaccines) (16).

33 **A.9 Records**

34 The recommendations of Good manufacturing practices for pharmaceutical products (54)  
35 should apply, as appropriate to the level of development of the candidate vaccine.

## 1 **A.10 Retained samples**

2 A sufficient number of samples should be retained for future studies and needs. Vaccine lots  
3 that are to be used for clinical trials may serve as a reference material in the future, and a  
4 sufficient number of vials should be reserved and stored appropriately for that purpose.

## 5 **A.11 Labelling**

6 The recommendations provided of good manufacturing practices for biological products (55)  
7 should apply, as appropriate for a candidate vaccine, with the addition of the following:

8 The label of the carton enclosing one or more final containers, or the leaflet accompanying  
9 the container, should include:

- 10 • the name of the vaccine;
- 11 • in case of live-attenuated vaccines, a statement on the nature of the preparation,  
12 specifying the strain of RSV or recombinant RSV contained in the live-attenuated  
13 RSV vaccine that the vaccine has been prepared from.
- 14 • in case of live-attenuated/chimeric vaccines, the minimum number of infective units  
15 per human dose, the nature of any cellular systems used for the production of the  
16 vaccine, and whether the vaccine strain was derived by molecular methods.
- 17 • in case of subunit, particle-based, and viral-vectored vaccines, a statement that  
18 specifies the nature of the cells and/or any expression system used for production of  
19 the vaccine.
- 20 • in case of particle-based, subunit and viral-vectored vaccines, the volume of one  
21 recommended human dose, and the amount of active substance(s) contained in one  
22 recommended human dose.
- 23 • the immunization schedule, and the recommended routes of administration;
- 24 • the number of doses, if the product is issued in a multiple-dose container;
- 25 • a statement that contact with disinfectants should be avoided;
- 26 • a statement concerning the photosensitivity of the vaccine, based on photostability  
27 data;
- 28 • if applicable, a statement indicating the volume and nature of diluent to be added to  
29 reconstitute the vaccine, and specifying that the diluent to be used is that supplied by  
30 the manufacturer and a statement that after the vaccine has been reconstituted, the  
31 vaccine should be used without delay or, if not used immediately, stored at 2-8°C and  
32 protected from light for a maximum period defined by stability studies.
- 33 • the name and concentration of any preservative added;
- 34 • a statement of the nature and quantity, or upper limit, of any antibiotics present in the  
35 vaccine;
- 36 • the temperature recommended during storage and transport;
- 37 • the expiry/retest date;
- 38 • any special dosing schedules;
- 39 • contraindications, warnings and precautions, concomitant vaccine use, adverse events.

1 **A.12 Distribution and transport**

2 The recommendations in Good manufacturing practices for biological products (55)  
3 appropriate for a candidate vaccine should apply.

4 Shipments should be maintained within specified temperature ranges and packages should  
5 contain cold-chain monitors (71).

6 **A.13 Stability testing, storage and expiry date**

7 The recommendations given in Good manufacturing practices for biological products (55)  
8 and in the Guidelines on stability evaluation of vaccines (70) appropriate for the respective  
9 RSV vaccine should apply. The statements concerning storage temperature and expiry date  
10 that appear on the primary and secondary packaging should be based on experimental  
11 evidence, and should be submitted to the NRA for approval.

12 **A.13.1 Stability testing**

13 Adequate stability studies form an essential part of vaccine development. Guidance on the  
14 evaluation of vaccine stability is provided in the WHO Guidelines on stability evaluation of  
15 vaccines (70). Stability testing should be performed at different stages of production –  
16 namely on stored intermediates (including single harvests, purified bulk and final bulk) and  
17 the final lot. Stability-indicating parameters should be defined or selected appropriately  
18 according to the stage of production. It is advisable to assign a shelf-life to all in-process  
19 materials during vaccine production, particularly to stored intermediates such as single  
20 harvests, purified bulk and final bulk.

21 Accelerated thermal stability tests may be undertaken on each final lot to give additional  
22 information on the overall characteristics of the vaccine, and may also be useful in assessing  
23 comparability when the manufacturer plans to make changes to manufacturing.

24 For vaccine licensure, the stability of the vaccine and its final container and at the  
25 recommended storage temperatures should be demonstrated to the satisfaction of the NRA on  
26 at least three lots of the final product (or, in the case of adsorbed vaccine, on the adsorbed  
27 antigen bulks). During clinical trials, fewer data are likely to be available. However, the  
28 stability of the vaccine under the proposed storage conditions should be demonstrated for at  
29 least the expected duration of the product in the clinical trial and information should be  
30 supplemented and updated when it becomes available (72).

31 Following licensure, ongoing monitoring of vaccine stability is recommended to support  
32 shelf-life specifications and to refine the stability profile (70). Data should be provided to the  
33 NRA according to local regulatory requirements.

34 The final stability-testing program should be approved by the NRA and should include an  
35 agreed set of stability-indicating parameters, procedures for the ongoing collection and  
36 sharing of stability data, and criteria for rejecting vaccines(s).

37 In-use stability should also be specified and justified with adequate data under real-time  
38 conditions.

1 The formulation of vaccine and adjuvant (if used) should be stable throughout its shelf-life.  
2 Acceptable limits for stability should be agreed with the NRAs.

### 3 **A.13.2 Storage conditions**

4 Before being distributed by the manufacturing establishment or before being issued from a  
5 storage site, the vaccine should be stored at a temperature shown by the manufacturer to be  
6 compatible with a minimal loss of titre (for live virus vaccine candidates) or potency (for  
7 particle based/subunit or vector-based vaccine candidates). The maximum duration of storage  
8 should be fixed with the approval of the NRA and should be such as to ensure that all quality  
9 specifications for the final product, including the minimum titre/potency specified on the  
10 label of the container (or package), will still be maintained until the end of shelf life. During  
11 clinical trials, this period should ideally be at least equal to the expected duration of vaccine  
12 administration in the clinical trial.

### 13 **A.13.3 Expiry date**

14 The expiry date should be defined on the basis of shelf-life and should be supported by the  
15 stability studies with the approval of the NRA. If the vaccine is stored at cold storage and  
16 intended for release without re-assay the expiry date is calculated from the date of removal  
17 from cold storage. This should be supported by stability studies. The expiry dates for the  
18 vaccine and the diluent (if used) may differ.

19 Where an *in vivo* potency test is used, the date of the potency test is the date on which the test  
20 animals are inoculated.

### 21 **A.13.4 Expiry of reconstituted vaccine**

22 In the case of for single dose containers of freeze-dried vaccines which require reconstitution,  
23 the reconstituted vaccine should be used immediately. Multi-dose containers should be kept  
24 in the dark at 2-8°C and the expiry time for use of an opened container should be defined by  
25 stability studies approved by the NRA, but should generally be not more than six hours.

26

## 27 **Part B. Nonclinical evaluation of human RSV vaccines**

### 28 **B.1 General remarks**

29 Nonclinical evaluation of RSV vaccines includes all *in vivo* and *in vitro* testing prior to and  
30 during the clinical development. Consideration should be given to the number and types of  
31 preclinical pharmacological studies to be conducted, with expectation to streamline and limit  
32 testing to those that provide results directly supportive of the proposed clinical program.  
33 Sponsors may consult NRAs to identify the most relevant studies for their regulatory  
34 submission.

35 Before proceeding to humans, there should be adequate information suggestive of the safety  
36 and potential for efficacy of vaccine, including product characterization, immunogenicity

1 studies, and toxicity and safety testing in animals. Continuation of some nonclinical testing  
2 would be expected for maintaining current good manufacturing practices and to support  
3 further clinical development.

4 The following sections describe the types of nonclinical information that are required for  
5 supporting initiation of a specific clinical study, or being submitted in marketing  
6 authorization application. Details on the design, conduct, and analysis of nonclinical studies  
7 are available in WHO guidelines on nonclinical evaluation of vaccines (10) that should be  
8 consulted.

## 9 **B.2 Process development and product characterization**

10 General principles described in WHO guidelines (10) for vaccine production, testing and  
11 stability are broadly applicable to RSV vaccines. The production process should be  
12 adequately controlled at critical steps to ensure consistency of manufacture. Vaccine antigens  
13 and the end-product should be well defined and thoroughly characterized to ascertain that  
14 vaccine lots used in nonclinical studies are qualified.

15 Vaccine lots used in nonclinical studies may be at research grade or manufactured under the  
16 GMP. Ideally, the lots tested are clinical lots. If this is not feasible, they should at least be  
17 comparable to clinical lots with respect to the concurrent clinical lot specification.

18 For recombinant DNA-derived antigens one intrinsic aspect is to demonstrate the stability of  
19 their conformation(s) using suitable methods, such as negative staining and electron  
20 microscopy and/or direct antibody binding assay, ideally, using a standardized panel of  
21 monoclonal antibodies with well-defined epitope specificities. Any instability of the  
22 expressed proteins occurring during storage or after a scale-production run, should be  
23 documented. Serological investigation based on antibody-competition assay may also provide  
24 some informative data regarding the presence and stability of antigenic sites exposed in a  
25 given conformational state.

26 For live attenuated vaccines, the suitability of an attenuated vaccine strain needs continuous  
27 careful review to ascertain attenuation and phenotypic stability. A complete genetic sequence  
28 should be obtained to document the attenuating mutations within the virus genome that may  
29 correlate with its attenuated phenotype. Since each virus passage may introduce new  
30 mutations, studies should determine if the genetic basis of attenuation is stable over the entire  
31 manufacturing process and during replication in vaccinees. These studies should also define  
32 the phenotypes of vaccine strain as far as is practical. The critical phenotypic markers,  
33 including replication efficiency in nonhuman primates and/or primary human bronchial  
34 epithelial cells and in some cases temperature sensitivity, are considered useful for detecting  
35 reversion events.

36 Vaccine candidates based on live recombinant vectored vaccines are associated with similar  
37 safety issues including degree of attenuation *in vivo* and replication *in vitro*, genetic stability  
38 of the virus, and the potential risk of reversion to virulence, and should be characterized  
39 accordingly. Neurovirulence testing is not normally needed for the live attenuated or vectored

1 RSV vaccines, unless vaccine constructs with gene deletions or modifications of the vector  
2 are suspected to have potential for neurovirulence.

3 The guidance on the general principles of the nonclinical assessment of vaccine adjuvants can  
4 be found in WHO Guidelines on the nonclinical evaluation of vaccine adjuvants and  
5 adjuvanted vaccines (11).

### 6 **B.3 Nonclinical immunogenicity and protective activity**

7 There is no animal model that precisely mimics RSV disease in humans. It is therefore  
8 acceptable to support use of RSV vaccines based on immunogenicity of vaccine in animals.  
9 Assessment of immunogenicity in animals should consider the construct designed or the type  
10 of vaccine. For certain vaccines including the protein-based, it is generally recommended that  
11 the serum antibodies with RSV-neutralizing activity be assessed in immunogenicity studies,  
12 because antibodies directed against the virus fusion (F) or attachment (G) glycoprotein of  
13 RSV neutralize the virus *in vitro* and have been associated with protective effect in animal  
14 models and/or in humans. Consideration should be given to choice of RSV subtype (i.e. A or  
15 B) as well as of cell type to be used when a vaccine construct is specifically designed to  
16 target G protein alone.

17 However, vaccine candidates based on recombinant viruses may by design elicit cellular  
18 immunity, such as CD8<sup>+</sup> cytotoxic T lymphocytes (CTLs) and/or type 1 CD4<sup>+</sup> T helper cells.  
19 Induction of an effective mucosal immune response may be an intended mechanism of  
20 protection for a vaccine administered by the intranasal route, e.g., a live attenuated or  
21 replication-competent vectored vaccine. To this end, a product-specific approach should be  
22 considered.

23 Prior to the initiation of clinical trials in humans, data should be in place to demonstrate a  
24 functional immune response post-vaccination in RSV-naïve animals.

25 For vaccines that include an adjuvant, information to support the selection and use in vaccine  
26 formulation should be provided, such as demonstrated adjuvant activity and the beneficial  
27 effect assessed by the magnitude, and/or the type, broadness, and duration of functional  
28 immune response induced (11). There is no need to perform immunogenicity studies in  
29 pregnant animals to generate a proof of transplacental antibody transfer. However, passive  
30 transfer of antibodies, generated in response to vaccination, to RSV naïve animals that are  
31 subsequently challenged with RSV virus, can provide evidence for the antibody-mediated  
32 protection and may be explored. For that, early conversation with national NRA is  
33 recommended.

34 For a multivalent candidate RSV vaccine, the immune responses (including any potential  
35 immunological interference between strains/subtypes) and protective activity with respect to  
36 each of the strains/subtypes targeted should be assessed.

1 Careful characterization of vaccine-induced immune responses in animal models is  
2 recommended, whenever feasible, during the assessment of vaccine-associated ERD  
3 anticipated for certain vaccines (see B.5.2).

4 The protective activity in challenged animals may be evaluated during the assessment of  
5 ERD risk. However, experience has shown that such data, especially those derived from  
6 rodents, are not necessarily predictive of immune protection in humans.

#### 7 **B.4 Pharmacokinetic studies**

8 Studies to determine serum concentrations of antigens are not needed. Specific studies such  
9 as local deposition studies at the site of injection, distribution studies or viral shedding studies  
10 may be necessary, especially in case of novel adjuvants, new formulations or alternative route  
11 of administration (for example, intranasal route).

12

13 For recombinant vaccine viruses with which no prior experiments have been done,  
14 biodistribution should be studied in a full set of tissues and organs including the brain. Such  
15 study is unnecessary if supportive data generated for the same vector but using different gene  
16 insert(s) are in place and in case that the construction of recombinant virus is not suspected to  
17 result in altered tissue tropism. One species is considered sufficient if scientifically justified.  
18 Crossing the blood-brain-barrier might be an indication of potential neurovirulence (73).

19

#### 20 **B.5 Nonclinical toxicity and safety testing**

##### 21 **B.5.1 Preclinical toxicity**

22 Toxicity studies for RSV vaccines should be undertaken based on guidance on the general  
23 principles of toxicity assessment provided in the WHO guidelines on the nonclinical  
24 evaluation of vaccines (10). Toxicological testing should aim to identify the untoward effect  
25 by careful analysis of all major organs as well as tissues near to and distal from the site of  
26 administration, associated with vaccine dose or as a consequence of replication and tissue  
27 tropism of vaccine virus for a replicating vaccine. Toxicity studies should support the safety  
28 of the starting dose, dosing schedule, route of administration, and proposed rate of dose  
29 escalation.

30 Where new adjuvant is included in formulation of vaccine, for which no experience exists in  
31 relation to human use, it is advisable that the adjuvant alone be characterized, according to  
32 the WHO guidelines on the nonclinical evaluation of vaccine adjuvants and adjuvanted  
33 vaccines (11).

34 If a vaccine candidate is intended for immunization of pregnant women or women of child-  
35 bearing age, a single developmental and reproductive toxicity study in one relevant species  
36 should be performed. Timing of submission of such data varies by geographic region or  
37 countries. Some NRAs require to exclude women of childbearing potential from large-scale  
38 clinical trials, prior to completion of developmental and reproductive toxicity study, other

1 NRAs can allow to recruit women of childbearing potential into early clinical trials if highly  
2 effective birth control methods are used by trial participants.

3 Any change introduced into manufacture or formulation of vaccine during the product  
4 development, when judged as significant, may require partial or full re-evaluation in  
5 preclinical toxicity testing (10, 74,75).

6

### 7 **B.5.2 Preclinical safety**

8 Studies with live-attenuated and recombinant viral-vectored vaccines entail the identification  
9 of markers of attenuation that can assist in monitoring the results during clinical evaluation  
10 phases. The primary purpose of such studies is to demonstrate that the vaccine is less virulent  
11 in animal host than comparable wild-type viruses, and that the vaccine does not exhibit any  
12 unexpected harmful tissue tropism and damage. (see B.2 and B.4).

13 The utility of animal models for the assessment of potential risk of ERD is still debating, due  
14 to the uncertainty and controversy of the ERD models. Nevertheless, it is generally agreed  
15 that the development of a RSV vaccine for use in RSV naïve infants should be undertaken  
16 with a great caution. The ERD animal models developed thus far may reproduce some  
17 immunopathogenic features of human ERD and may be used to support the preclinical safety  
18 assessment brief review of some representative animal models is provided below. For certain  
19 vaccines that would be biologically more likely to induce a Th2-biased T-cell response, such  
20 as the protein-based vaccines, the assessment of potential risk of ERD in animal models may  
21 be required by the NRA, prior to testing in RSV-naïve infants. Live attenuated vaccines are at  
22 low risk of ERD, based on existing evidence. Pre-clinical safety assessments for ERD are not  
23 needed prior to testing vaccines in RSV experinced/non-naive populations since these  
24 individuals are not at risk for this adverse event.

25 The design of preclinical safety studies should include FI-RSV vaccine as a positive control,  
26 when appropriate, and a group given RSV infection as a negative control. In certain  
27 circumstances (e.g. cotton rats, nonhuman primates), it may be important to address  
28 inflammatory responses raised by host cell proteins present in vaccine and/or RSV challenge  
29 virus preparations (76). Another important consideration is to choose the optimized vaccine  
30 dose for animal immunization, in order to allow adequate viral replication in the setting of  
31 vaccine-induced neutralizing antibodies. It may be necessary to examine serum antibody  
32 responses and lung histopathology after RSV challenge over a range of vaccine doses. Since  
33 surrogate readouts of vaccine-associated disease exacerbation vary by animal model,  
34 discussions given above should be taken into account. Measurement of viral titers in the  
35 lungs of affected animals does not predict enhanced pulmonary pathology, although this  
36 parameter is broadly suited for assessment of the protective effect of the candidate vaccine.

37 Irrespective of the animal model used for RSV challenge prior to ERD assessment, lung  
38 sections should be scored by a pathologist/person blinded to group assignment; the method  
39 used to summarize and compare lung histopathology scores should be adequately described.

### 1 **B.5.2.1 Mouse model**

2 Mice are relatively resistant to human RSV infection and require high challenge inocula for  
3 significant lung pathology (e.g., above  $10^6$  pfu) (77). The small airway epithelium of mice is  
4 not as extensively infected as it is in humans, and most virus replication occurs in Type 1  
5 pneumocytes. Notwithstanding these limitations, a mouse model is attractive because of the  
6 relative low cost and the availability of extensive molecular tools. Certain strains, such as  
7 BALB/c mouse, have been extensively used to explore mechanisms underlying FI-RSV-  
8 enhanced disease, such as a pattern of CD4<sup>+</sup> type 2 T-cells primed by vaccine and boosted  
9 following RSV challenge, evaluation of pulmonary eosinophilia and induction or absence of  
10 RSV-specific CD8<sup>+</sup> CTLs. Other informative parameters may include body weight loss,  
11 illness, and changes in respiratory physiology, as displayed by the challenged mice.

12 In addition, there are a number of genetically-modified mice that may provide unique insights  
13 into pathogenesis.

### 14 **B.5.2.2 Cotton rat model**

15 Cotton rats are more susceptible to human RSV infection than mice and have been widely  
16 used to characterize vaccine-enhanced disease (78). In this model, virus replication in the  
17 lower airway is primarily limited to bronchiolar epithelium, closely resembling human  
18 infection. Several key histologic features of disease exacerbation have been reproduced in  
19 cotton rats, including neutrophilic alveolitis and peribronchiolitis consisting primarily of  
20 lymphocytes. In addition, interstitial pneumonitis appears to be another marker specific for  
21 vaccine enhancement.

### 22 **B.5.2.3 Nonhuman primate model**

23 African green monkeys are the most studied nonhuman primate (NHP) species used to model  
24 FI-RSV-enhanced disease. Enhanced pulmonary pathology has been demonstrated in this  
25 model, as manifested by severe infiltration of lymphocytes, macrophage, eosinophils, and  
26 polymorphonuclear into parenchyma and the peribronchiolar areas of the lung. However,  
27 clinical disease presentation in vaccinated monkeys is of limited comparability to humans  
28 (79).

29 Similarly, cynomolgus macaques display lung eosinophilia and production of type 2  
30 cytokines after FI-RSV immunization and RSV challenge. Although fatal outcomes may  
31 occur in FI-RSV-immunized macaques, the histologic presentation observed in fatal human  
32 cases is not duplicated, as there are no inflammatory lesions in lungs at the moment of animal  
33 death (80).

34 NHPs, though sharing high similarity in immune system with humans, do not reproduce all  
35 immunological features as seen in humans, as significant RSV-neutralizing antibody response  
36 can be induced in FI-RSV-immunized monkeys. The limited availability, high cost and  
37 ethical considerations further present practical limitations. It is also worth noting that human  
38 RSV is semi-permissive in NHPs and the inoculum needs to be very large, even challenge

1 viruses matched to their hosts and able to appropriately inhibit Type 1 interferon and  
2 accomplish all other immune evasion strategy. Usually several milliliters of high titer virus  
3 stock need to be given in each nostril and sometimes intratracheally, which does not represent  
4 the type of transmission that occurs in humans.

#### 5 **B.5.2.4 Calf model**

6 Calves are a natural host for bovine RSV (bRSV) and efficiently replicate the virus in the  
7 upper and lower respiratory tract. bRSV infection in calves causes a spectrum of clear clinical  
8 disease overlapping with the disease observed in RSV infected human infants, such as fever,  
9 nasal discharge, cough, and tachypnea with chest retractions, wheezing, hypercapnia and  
10 hypoxemia (81). Severe lower respiratory tract disease occurs mostly in calves less than 6  
11 months of age. Studies to model FI-RSV disease exacerbation in calves have demonstrated a  
12 similar clinical and histopathological presentation to what has been observed in the original  
13 human trials, including detection of poorly neutralizing antibodies. The features unique to  
14 enhanced pulmonary pathology include proliferative alveolitis, alveolar syncytium and septal  
15 fibrosis.

16 Since bovine RSV and human RSV share enough homology in the fusion ectodomain and  
17 other conserved viral proteins, the calf model challenged with bRSV may have particular  
18 value for demonstrating protective efficacy of a vaccine based on human RSV F protein and  
19 for informing the key features of vaccine-enhanced illness.

20 Disadvantage of this model includes use of a different (i.e. non-human RSV) virus, a need for  
21 very large inoculum, and a need for expertise to work with large animals. Due to large size of  
22 the lung and patchy pathology, there is also concern that sampling error could interfere with  
23 an accurate evaluation of pathology.

#### 24 **B.6 Environmental risk assessment**

25 Types of inactivated or protein-based RSV vaccines are unlikely to result in significant risk to  
26 the environment and thus exempted from specific environment risk assessment (ERA)  
27 studies. However, live vaccines attenuated by genetic modification or live recombinant  
28 vaccine viruses pose potential risk of spread to the third party, i.e. unvaccinated humans  
29 and/or domestic animals. For them, an ERA may be required as part of the preclinical  
30 evaluation. Data on the phenotype of live attenuated or recombinant vaccine virus, including  
31 the degree of attenuation and replication, its genetic stability, the potential to revert to a  
32 virulent virus, and the possible shedding following administration, contribute at least in part  
33 to the ERA.

34 In addition, the risk of recombination with wild-type virus strains should be addressed for all  
35 live attenuated vaccine virus and all live recombinant vaccine viruses.

36

## 1 **Part C. Clinical evaluation of RSV vaccines**

### 2 **C.1 Introduction**

3 Clinical studies for RSV vaccines should be conducted in accordance with the principles  
4 described in the WHO Guidelines for good clinical practice (GCP) for trials on pharmaceutical  
5 products (72) and the WHO Guidelines on clinical evaluation of vaccines: regulatory  
6 expectations (12). This section focuses only on issues that are most relevant or specific to the  
7 clinical evaluation of RSV vaccines, regardless of the vaccine construct. Guidance is provided  
8 on assays for measurement of immune responses to vaccination and for laboratory confirmation  
9 of clinical cases of RSV disease in efficacy trials. The discussion of clinical programmes is  
10 generally applicable across age and population groups, but specific attention is given to trials  
11 that evaluate the safety, immunogenicity and efficacy of vaccines intended for:

- 12 • Active immunisation of infants and toddlers (aged 28 days to 23 months), including those  
13 who were born prematurely;
- 14 • Active immunisation of pregnant women, with the primary aim of protecting the infant in  
15 the first months of life;
- 16 • Active immunisation of older adults (e.g. aged  $\geq 50$ ), including subjects with co-  
17 morbidities.

18  
19 Sponsors may wish to investigate the use of RSV vaccines in other populations. These may  
20 include neonates (0-27 days), children from 2 years and adults and/or subjects with co-  
21 morbidities or immunodeficiencies predisposing to development of RSV disease. Safety and  
22 immunogenicity data should be obtained in each target population in accordance with sections  
23 C.2 and C.4. Section 6 of the WHO Guideline on clinical evaluation of vaccines; regulatory  
24 expectations (12) considers the possible need for efficacy trials and the extrapolation of results  
25 of vaccine efficacy trials between populations.

### 26 27 **C.2 Immunogenicity trials**

#### 28 29 **C.2.1 Assays**

30 General guidance on the use and validation of assays for immune responses is provided in the  
31 WHO Guideline on clinical evaluation of vaccines; regulatory expectations (8). This section  
32 provides some guidance on assays of relevance to the investigation of immune responses to  
33 RSV vaccines, some of which may be selected for use in individual clinical development  
34 programmes according to the vaccine construct.

##### 35 **C.2.1.1 *Humoral immunity***

#### 36 **Neutralizing antibodies**

37 Serum RSV neutralization assays occur in a multitude of formats (52, 82). Sponsors should  
38 provide detailed information about the identity of the cell substrate, virus challenge strain and  
39 whether neutralization is modulated by complement, stating the type and concentration if used

1 in the assay. Neutralization assays may use laboratory-adapted strains representative of RSV-  
2 A (such as A2, Long, or Tracy) and RSV-B subtypes (such as 18537, 9320, or B1) and/or  
3 contemporary RSV isolates like RSV/A/Ontario/2010 (ON1) and RSV/B/BA viruses of the  
4 Buenos Aires (BA) lineage (83-87) or other contemporary strains as they become available.  
5 The use of both RSV-A and -B viruses will help to verify the ability of a vaccine to elicit  
6 antibodies capable of neutralizing RSV in a subtype-specific manner.

7 The readout for the assay (e.g. cytopathic effect, plaque counts, fluorescence, luminescence,  
8 gene copy number) should be described. Adequate controls should be used to define a valid  
9 test and justify pooling of data across assay runs. The final serum dilution used for determining  
10 the endpoint for neutralization should include the dilution that occurs following addition of the  
11 challenge virus. The method used to calculate endpoint titres should be provided. Generally, it  
12 is recommended that the endpoint should be derived from the linear portion of the titration  
13 curve.

14 The results should be reported in International Unitage along with information about the  
15 performance of the International Standard (52,53).

#### 16 **RSV-binding antibodies**

17 Enzyme immunoassays (EIAs) that measure anti-RSV IgG antibodies are commercially  
18 available. Sponsors may also develop in-house tests suited to the individual vaccine. If  
19 commercial assays are used it is recommended that kits are derived from the same  
20 manufacturing lot or otherwise qualified by the appropriate bridging studies in order to  
21 minimize variability in results.

- 22 • EIA to measure anti-RSV F IgG: It is recommended that RSV-F antigens used to capture  
23 anti-F binding antibodies be of high quality, with a well-characterized conformation and  
24 proven stability. During assay development, the appropriate antibody reagents should be  
25 used to confirm the predominant conformation of RSV-F antigen present by assessing the  
26 ability to bind antibodies specific for epitopes on pre-fusion and/or post-fusion  
27 conformation of RSV-F. Some pre-fusion F epitopes are specific for RSV subtype A or  
28 subtype B pre-F protein. Therefore, in some cases it may be necessary to test for IgG  
29 antibodies that bind pre-F antigens in a subtype specific manner (32, 88-90).
- 30 • Purified recombinant proteins or synthetic peptides may be used in EIAs to detect antibody  
31 responses against antigens such as RSV-G<sub>A</sub> and -G<sub>B</sub> proteins. Antibody responses against  
32 RSV proteins not included in the vaccine may support surveillance for RSV  
33 exposures/infections during follow-up (91).

34  
35 Antibody responses to a specific protein or epitope may be detected using competitive binding  
36 studies based on EIA formats or biosensor technology wherein the antigen is applied to a  
37 surface (plate or chip), and antibody binding in the test sample measured in the presence and  
38 absence of a competitor (92).

#### 39 40 ***C.2.1.2 Cell mediated immunity***

1

**2 CD8<sup>+</sup> T cell responses**

3 Ideally, CD8<sup>+</sup> T-cells are collected at 7-14 days after a vaccine dose in adults for determination  
4 of sensitisation by *in vitro* stimulation with RSV antigens (38,93). A similar sampling window  
5 may apply to infants and children based on a finding that CD8<sup>+</sup> T cell responses in peripheral  
6 blood peaked between 11 and 15 days after onset of symptoms in RSV-infected infants (41).  
7 The optimal sampling time for detecting CD8<sup>+</sup> T-cells may vary by vaccine platform; a broader  
8 window may be considered if supported by data for the vaccine under study.

9

**10 CD4<sup>+</sup> T-cell responses**

11 CD4<sup>+</sup> T-cells in infants less than 6 months of age are epigenetically programmed to have a  
12 dominant Th2 type cytokine response that may be antigen-specific (42,43). In some cases, it  
13 may be appropriate to evaluate CD4<sup>+</sup> T-cell responses in RSV-naïve infants in early phase  
14 clinical testing to determine the ratio of Th2 cytokines (such as IL-4, IL-5 and IL-13) versus  
15 Th1 type cytokines (such as IL-2 and IFN- $\gamma$ ) following re-stimulation with overlapping  
16 peptides representative of vaccine antigens.

17

**18 C.2.2 Trial population and design**

19 Regardless of the target population(s) for a candidate RSV vaccine, the first trials are expected  
20 to be conducted in healthy adults to provide data on safety and immunogenicity in RSV-  
21 experienced male and non-pregnant female subjects.

**22 C.2.2.1 Infants and toddlers**

23 It is recommended that the first trials are conducted in RSV-experienced toddlers. Depending  
24 on the vaccine construct, the nonclinical data and accumulated scientific knowledge, it may  
25 sometimes be appropriate to consider conducting a safety and immunogenicity trial in RSV  
26 non-naïve infants before moving to RSV-naïve subjects. A definition of RSV naïve and -  
27 experienced subjects should be established in the protocol. For example, RSV-naïve could be  
28 based on having no documented history of RSV disease and no immunological evidence of  
29 prior exposure to RSV (based on one or more of pre-vaccination neutralizing antibody titres,  
30 anti-RSV IgA or IgM).

31

32 It is recommended that immunogenicity trials that include RSV-naïve subjects should complete  
33 follow-up for RSV disease for at least one season (or equivalent in non-seasonal regions) to  
34 provide a preliminary assessment of the risk of enhanced RSV disease based on a comparison  
35 of the severity of cases. This assessment should be completed before exposing larger numbers  
36 of RSV-naïve subjects.

37 The potential for maternal antibody to interfere with the immune response to active  
38 immunisation of infants should be assessed from the relationship between pre- and post-  
39 vaccination immune parameters. If maternal antibody has a negative effect on the infant  
40 immune response, consideration could be given to administering an additional dose (e.g. after  
41 6-12 months has elapsed since completion of the primary series) and comparing the response

1 with that to a single dose administered to unvaccinated subjects of the same age to assess  
2 whether vaccinated infants were primed.

### 3 **C.2.2.2** *Pregnant women*

4 Data obtained in non-pregnant women of childbearing potential should be used to select the  
5 initial dose regimen(s) to be tested in pregnant women. Follow-up of these non-pregnant  
6 women for neutralising antibody decay and documentation of the safety and immunogenicity  
7 of revaccination should be considered to provide an early indication of the possible need for  
8 revaccination of women in consecutive pregnancies.

9  
10 Dose regimens for pregnant women may aim to maximize the difference in RSV neutralising  
11 antibody titres in cord blood between infants born to vaccinated and unvaccinated mothers  
12 whilst maintaining an acceptable safety profile. Analysis of cord blood antibody levels in  
13 infants by time elapsed between maternal vaccination and delivery may assist in determining  
14 the optimal number of doses and timing of maternal vaccination. Documenting the RSV  
15 neutralizing antibody decay curve in infants may give an early indication of how long a benefit  
16 of maternal vaccination may persist.

17  
18 Unless otherwise justified, trials involving maternal vaccination should follow up infants for  
19 RSV disease until it is predicted that they will have no or negligible maternal antibody before  
20 initiating the next trial. This will allow for data on RSV disease and its severity to be collected  
21 and reviewed to assess whether there is any signal for enhanced disease in infants born to  
22 vaccinated vs. unvaccinated mothers.

23  
24 Documenting the RSV neutralizing antibody decay curve in vaccinated women during and  
25 following delivery (e.g. for 6 months) may give an early indication of the need to re-vaccinate  
26 women during each pregnancy. Consideration should be given to investigating the safety and  
27 immunogenicity of revaccination during a subsequent pregnancy whenever the opportunity  
28 arises in the post-approval period.

### 29 **C.2.2.3** *Older adults*

30 It is important that data are obtained from all age sub-groups (e.g. <65, 65-74, 75-84 and  $\geq$  85  
31 years) within the target population in safety and immunogenicity trials to assess whether age  
32 sub-group-specific regimens may be needed. Unless otherwise justified, it is recommended that  
33 trials should document the safety and immunogenicity of additional doses administered at  
34 intervals (e.g. after 1-2 years since the primary dose[s]) to randomised subsets of subjects. This  
35 information can be used to support a booster strategy if this is later concluded to be appropriate  
36 from results of efficacy trials.

### 1 **C.3 Efficacy trials**

2 In the absence of RSV vaccines licensed and widely recommended for use in the target  
3 population of a candidate RSV vaccine, vaccine efficacy trials should compare rates of RSV  
4 disease meeting the primary case definition (see C.3.2) between groups randomised to the  
5 candidate vaccine or to no vaccination against RSV. At least one trial should be conducted in  
6 each target population proposed for the candidate vaccine (e.g. in infants ± toddlers, in pregnant  
7 women and/or in older adults), depending on the perceived suitability of the candidate vaccine  
8 for these sub-populations.  
9

10 The WHO Guideline on clinical evaluation of vaccines; regulatory expectations (12) provides  
11 guidance on the need for, and design of, efficacy trials when there is a licensed vaccine  
12 available and widely recommended for use in the target population for a candidate vaccine. It  
13 also discusses situations in which efficacy may be inferred from immunogenicity data.  
14

15 Before commencing efficacy trials in target populations, sponsors may consider the possible  
16 value of conducting a human challenge study.  
17

18 In accordance with ICH E9- Statistical principles for clinical trials (94), consideration should  
19 be given to stratification of subjects at randomisation by important known or suspected  
20 prognostic factors measured at baseline. Factors on which randomisation has been stratified  
21 should be accounted for in the analysis.  
22

#### 23 **C.3.1 Trial populations**

##### 24 **C.3.1.1 *Infants and toddlers***

25 Selection criteria should include the minimum gestational age at birth and the minimum and  
26 maximum ages at the time of enrolment. Protocols should clarify the eligibility of infants born  
27 at ≤ 35-36 weeks of gestation.  
28  
29

30 It is not expected to be feasible to determine baseline serostatus prior to enrolment into efficacy  
31 trials. However, it is recommended that baseline blood samples are obtained at least from a  
32 randomised subset of subjects and preferably from all subjects to allow for an exploration of  
33 vaccine efficacy in RSV-naïve and experienced subsets (see section C.2.2.1 on establishing  
34 definitions). Furthermore, it is recommended that post-vaccination blood samples are obtained  
35 at least from a randomised subset of subjects, and preferably from all subjects, at a fixed point  
36 in time to allow for an exploration of vaccine efficacy according to immune status and possible  
37 identification of an immune correlate of protection.  
38

##### 39 **C.3.1.2 *Pregnant women***

40 The minimum and maximum gestational stage and the method used for estimating this should  
41 be specified in the protocol and applied across all trial sites. The minimum gestational age for

1 vaccination should be determined from the safety and immunogenicity studies, depending on  
2 the number of doses and dose interval needed to optimise the immune response.

3  
4 Protocols should state whether pregnant women with any evidence of placental insufficiency  
5 are eligible for enrolment. If there are cord blood data to suggest that vaccination increases the  
6 anti-RSV neutralising antibody transferred to the fetus despite placental insufficiency, it may  
7 be appropriate to include these women.

### 8 9 **C.3.1.3 Older adults**

10 To support use of a candidate RSV vaccine without an upper age limit, trials should aim to  
11 ensure that the trial population covers a wide age range. For example, it may be reasonable to  
12 aim for at least 25% of the total population to be aged >75 years. It is recommended that  
13 exclusion criteria are kept to a minimum to ensure that subjects have a range of comorbidities.

## 14 **C.3.2 Efficacy trial endpoints**

### 15 **C.3.2.1 Primary case definition**

16 The primary case definition should require both clinical and laboratory criteria to be met.

#### 17 **Clinical criteria**

18 The primary case definition could be any RSV disease or could be confined to RSV LRTI and  
19 severe RSV disease.

20 The list of clinical signs and symptoms and the number to be met must be tailored to the age  
21 range of the trial population (e.g. a definition applicable to infants would not be appropriate for  
22 older adults). Information on clinical presentations from epidemiological studies of RSV and/or  
23 from completed clinical trials may be helpful when selecting the minimum signs and symptoms  
24 to be met.

25 Sponsors are advised to take account of proposals for classifying RSV disease severity in  
26 different age groups that come from well-recognised public health or professional bodies. For  
27 example, the WHO has published suggested clinical criteria for defining severe RSV disease  
28 in infants and toddlers (8). Published clinical scores suitable for application to RSV disease  
29 could also be considered.

#### 30 **Laboratory criteria**

31  
32 Laboratory confirmation of a case may be based on a protocol-defined commercially available  
33 rapid diagnostic test (RDT) for RSV. These tests may be based on amplification of RSV nucleic  
34 acid sequences, such as a nucleic acid amplification test (NAT) or reverse transcription  
35 polymerase chain reaction (RT-PCR) (95,96). It is recommended that the exact same RDT (e.g.  
36 a NAT from a single manufacturer that can detect low levels of virus) is used at all sites if

1 multiple testing sites are permitted for early phase studies. In pivotal clinical trials it is  
2 recommended that testing is conducted in a central laboratory using a single validated RDT.

3  
4 The sponsor should justify the RDT(s) chosen based on their performance characteristics  
5 (sensitivity and specificity). RDTs should be able to discriminate between RSV-A and -B  
6 strains. The test method should be able to detect a low copy number (e.g.  $<10^3$  gene copies per  
7 mL or fewer than 50 gene copies per reaction) of the target RSV sequence. In clinical trials  
8 involving live-attenuated RSV vaccines, a NAT test should have the ability to differentiate  
9 between vaccine and wild-type RSV strains. For example, some RSV live-attenuated strains  
10 used in candidate vaccines are gene-deletion mutants so that amplification of a target sequence  
11 from within the deleted gene can be used to distinguish vaccine strains from wild-type viruses.

12  
13 During clinical trials, arrangements should be in place to collect samples from suspected cases  
14 as early as possible after onset of clinical features suggesting a possible RSV infection.  
15 Licensed test kits specify the type of sample to be collected and most frequently recommend  
16 the use of nasal swab and/or nasal wash samples. Other samples such as nasal secretions  
17 (mucus), sputum, tracheal aspirates, bronchial alveolar lavage samples and post-mortem lung  
18 tissues may be used for virus detection if the test method is modified and validated for this use.  
19 In most cases, collection of nasal swabs (NS), nasopharyngeal swabs (NP) or nasal wash  
20 aspirates (NW) will be acceptable to trial subjects. Whilst NS may be more sensitive in  
21 detecting RSV shedding (97), NW aspirates may be better at detecting virus when quantities  
22 are low (98-101). The protocol for sample collection should provide the details of the collection  
23 method, including issues such as type of swab (which may be very important for some assays)  
24 and swabbing site/action so that the protocol is applied consistently across all study sites and  
25 all trials in any one clinical development programme. Training of site personnel in sample  
26 collection may be required.

27  
28 Negative controls (e.g. collection medium blanks) should be processed and tested with clinical  
29 samples to ensure that no cross-contamination occurs. A cellular DNA target sequence, such  
30 as GAPDH, may be used as an internal control to monitor the quality of the collected samples.  
31 Alternatively, upon thawing and prior to further processing, NS or NW samples may be spiked  
32 with a barcode-tagged RNA sequence to serve as a unique sample identifier and internal control  
33 to monitor efficiency of RNA extraction.

### 34 35 **C.3.2.2**            *Secondary case definitions*

36 Alternative case definitions should be defined as necessary for the purposes of the secondary  
37 analyses.

### 38 39 **C.3.3**            **Case ascertainment**

40 It is generally recommended that active surveillance (12) is used to identify cases meeting the  
41 primary and other case definitions. The method of case ascertainment should be tailored to the  
42 geographical distribution of trial sites and should include instructions to subjects and care-

1 givers on trigger signs and symptoms for possible RSV and presentation to site staff and/or  
2 participating healthcare facilities.

### 3 **C.3.4 Analysis of efficacy**

4 If the primary analysis is based on all RSV disease (i.e. regardless of severity), secondary  
5 analyses should be conducted based on RSV LRTI, severe RSV disease and/or other case  
6 definitions. Furthermore, in efficacy trials that enroll RSV-naïve subjects it is essential that  
7 information on case severity is captured so that the clinical presentations of cases that occur in  
8 vaccinated and unvaccinated cohorts can be compared (whether in the primary or secondary  
9 analyses) to assess the risk of vaccine-associated enhanced disease. See section C.2.3.

10 Some additional considerations for population sub-groups are included below.

#### 11 **Infants and toddlers**

12 The primary analysis may be confined to RSV cases with onset after a time point defined by  
13 completion of the primary series. If this is the case, it is important that a secondary analysis  
14 compares numbers of cases that occur at any time from randomisation. Additional secondary  
15 analyses may compare numbers of cases that occur after each dose.

16 A secondary analysis should address the time between the last vaccination (scheduled or  
17 completed) and the onset of disease.

18 There is interest in evaluating whether vaccination impacts on the rate of asthma and  
19 symptomatic wheezing in children, which could be investigated in the post-licensure period.  
20 This would require a clear definition of symptomatic wheezing (e.g. including pulmonary  
21 function criteria in children old enough to undergo testing) along with long-term structured  
22 follow-up to maintain high retention of the original clinical trial population to determine  
23 whether there is any detectable benefit and its duration.

#### 24 **Pregnant women**

25 The primary analysis may be confined to infants born a minimum number of weeks after their  
26 mothers were vaccinated. If this is the case, a secondary analysis of efficacy should be  
27 conducted in all infants regardless of the time elapsed between maternal vaccination and  
28 delivery. Some infants may be eligible for routine use of an anti-RSV monoclonal antibody  
29 according to local guidance, in which case it would be appropriate to exclude them from the  
30 primary analysis of efficacy if they have received such a monoclonal. Cases of RSV disease in  
31 these subjects should be captured and included in a secondary analysis.

32 It is recommended that infants born to vaccinated mothers are followed for RSV disease until  
33 it is predicted from data collected during prior immunogenicity trials that geometric mean  
34 neutralising antibody titres are similar between infants born to vaccinated and unvaccinated  
35 mothers.

1 **Older adults**

2

3 A secondary analysis should address the time between the last vaccination of the subjects  
4 (scheduled or completed) and the onset of disease.

5 It is recommended that, unless an immune correlate of protection has been established, subjects  
6 should continue to be followed for RSV disease to assess the potential need for re-vaccination  
7 and the intervals at which this may be required to maintain protection. One approach may be  
8 to sub-randomise subjects initially allocated to the vaccine group to receive or not receive a  
9 booster dose and follow these cohorts further for RSV cases. Data to support advice on  
10 revaccination may not be available until after first licensure and may be modified as additional  
11 data emerge.

12 **C.4 Safety aspects**

13 **C.4.1 Infants and toddlers**

14 Safety data obtained from trials in RSV-experienced subjects may be poorly predictive of the  
15 safety profile in RSV-naïve subjects. Therefore, a cautious approach is recommended for the  
16 commencement of trials in infants and toddlers.

17 Historical data indicate that the potential risk of vaccine-associated disease enhancement is  
18 highest (and perhaps confined to) RSV-naïve infants. Therefore, it is particularly important that  
19 there is a large representation of infants known or expected (e.g. from epidemiology data) to  
20 be RSV-naïve in the safety database.

21 If there is any vaccine-associated disease enhancement, it is expected to occur with the first  
22 natural RSV infection after completion of vaccination. The total duration of follow-up for RSV  
23 disease in clinical trials to address this issue should be decided from knowledge of the rate of  
24 RSV seroconversion as a measure of natural exposure in the regions where trials are conducted.  
25 To support the adequacy of follow-up to assess the risk of enhanced disease, a (preferably  
26 randomised) subset of subjects in the placebo group could be assessed for serological evidence  
27 of natural infection at one or more pre-defined time points. If a signal for enhanced RSV  
28 disease has not been observed in the vaccinated group up to a time by which serology indicates  
29 a high natural exposure rate in the unvaccinated control group, it is very unlikely that it would  
30 be detected during additional follow-up.

31 An additional safety consideration for trials of live-attenuated RSV vaccines in RSV-naïve  
32 infants includes the need to assess the duration and magnitude of virus shedding and  
33 transmission to susceptible (RSV-naïve) close contacts. Unless the attenuation phenotype of  
34 the vaccine candidate virus has been confirmed, adequate precautions should be in place to  
35 minimize the risk of transmission of the vaccine virus from vaccinees during the period of virus  
36 shedding to contacts who are less than 1 year old or are immunocompromised.

#### 1 **C.4.2 Pregnant women**

2 The threshold for determining tolerability of a vaccine during pregnancy is usually lower than  
3 that applicable to non-pregnant adults. The risk of local and systemic reactions, including fever,  
4 to vaccination should be assessed in non-pregnant women before proceeding to vaccinate  
5 pregnant women. The rates of premature delivery, complications of pregnancy or labour and  
6 the condition of infants at birth should be compared between the vaccinated and unvaccinated  
7 groups. Routine safety assessments of infants should be conducted for 6-12 months after birth.

#### 8 **C.4.3 Older adults**

9 The tolerability of a vaccine may differ between subgroups of older persons by age sub-group  
10 and degree of frailty. Therefore, it is important that there are safety data obtained from all age  
11 subgroups that are to be included in the target population for routine use. If post-licensure data  
12 indicate that re-vaccination at intervals may be required, the safety profile of repeated dosing  
13 should be documented (see section C.2.2.3).

### 14 **Part D. Guidelines for NRAs**

#### 15 **D.1 General**

16 The general recommendations for control laboratories given in the WHO Guidelines for  
17 national authorities on quality assurance for biological products (102) and WHO Guidelines  
18 for independent lot release of vaccines by regulatory authorities (103) should apply after the  
19 vaccine product has been granted a marketing authorization. These recommendations specify  
20 that no new biological substance should be released until consistency of batch manufacturing  
21 and quality has been established and demonstrated. The recommendations do not apply to  
22 material for clinical trials.

23 The detailed production and control procedures, as well as any significant changes in them  
24 that may affect the quality, safety and efficacy of the RSV vaccines, should be discussed with  
25 and approved by the NRA.

26 The NRA may obtain the product-specific working reference from the manufacturer to be  
27 used for lot release until the international or national standard preparation is established.

28 Consistency of production has been recognized as an essential component in the quality  
29 assurance of vaccines. In particular, during review of the marketing authorization dossier, the  
30 NRA should carefully monitor production records and quality control test results for clinical  
31 lots, as well as for a series of consecutive lots of the vaccine, produced using the procedures  
32 and control methods that will be used for the marketed vaccine.

#### 33 **D.2 Release and certification**

34 A vaccine lot should be released to the market only if it fulfils all national requirements  
35 and/or satisfies Part A of these WHO Guidelines (103). A protocol for the manufacturing and

1 control of human RSV vaccines, based on the model protocol provided in Appendix 1 (to be  
2 developed), and signed by the responsible official of the manufacturing establishment, should  
3 be prepared and submitted to the NRA in support of a request for the release of a vaccine for  
4 use.

5 A Lot Release Certificate signed by the appropriate NRA official should then be provided if  
6 requested by a manufacturing establishment, and should certify whether or not the lot of  
7 vaccine in question meets all national requirements, as well as Part A of these WHO  
8 Guidelines. The purpose of this official national release certificate is to facilitate the  
9 exchange of vaccines between countries, and should be provided to importers of the vaccines.  
10 A model NRA Lot Release Certificate is provided below in Appendix 2.

11

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34

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- 13

## 1 **Appendix 1. Model summary protocol for the manufacturing and control** 2 **of human RSV vaccines**

3 The following provisional protocol is intended for guidance. It indicates the information that  
4 should be provided as a minimum by the manufacturer to the NRA after the vaccine product  
5 has been granted a marketing authorization. The protocol is not intended to apply to material  
6 intended for clinical trials.

7  
8 Since the development of these vaccines is incomplete at the time of writing this document,  
9 detailed requirements are not yet finalized. Consequently only the essential requirements are  
10 provided in this appendix. Information and tests may be added or omitted (if adequate  
11 justification is provided) as necessary to be in line with the marketing authorization approved  
12 by the NRA. It is therefore possible that a protocol for a specific product will differ from the  
13 model provided here. The essential point is that all relevant details demonstrating compliance  
14 with the license and with the relevant WHO Guidelines on a particular product should be given  
15 in the protocol submitted.

16  
17 The section concerning the final product should be accompanied by a sample of the label and  
18 a copy of the leaflet that accompanies the vaccine container. If the protocol is submitted in  
19 support of a request to permit importation, it should also be accompanied by a Lot Release  
20 Certificate from the NRA of the country in which the vaccine was produced and/or released  
21 stating that the product meets national requirements as well as Part A of these WHO Guidelines.

### 22 **1. Summary information on finished product (final vaccine lot)**

- 23     ▪ International name:
- 24     ▪ Trade name/commercial name:
- 25     ▪ Product licence (marketing authorization) number:
- 26     ▪ Country:
- 27     ▪ Name and address of manufacturer:
- 28     ▪ Name and address of product licence-holder, if different:
- 29     ▪ Vector(s) (if applicable):
- 30     ▪ RSV virus strain(s) (if applicable):
- 31     ▪ Batch number(s):
- 32     ▪ Type of container:
- 33     ▪ Number of filled containers in this final lot:
- 34     ▪ Number of doses per container:
- 35     ▪ Preservative and nominal concentration (if applicable):
- 36     ▪ Summary of the composition (summary of qualitative and quantitative composition of  
37         the vaccine, including any adjuvant and other excipients):
- 38     ▪ Target group:
- 39     ▪ Shelf life approved (months):
- 40     ▪ Expiry date:

- 1       ▪ Storage conditions:

2       **2. Control of source material**

3       **2.1 Virus and viral/bacterial vector seeds (where applicable)**

4       **2.1.1 Seed banking system**

- 5       ▪ Name and identification of virus or viral/bacterial vector:  
6       ▪ Origin of all genetic components (if applicable):  
7       ▪ Construction of virus or viral/bacterial vector:  
8       ▪ Nucleotide sequence of the transgene and flanking regions:  
9       ▪ Antigenic analysis, infectivity titre, yield (in vitro/in vivo):  
10      ▪ Comparison of genetic and phenotypic properties with parental vector:  
11      ▪ Seed bank genealogy with dates of preparation, passage number and date of coming  
12      into operation:  
13      ▪ Tests performed for detection of adventitious agents at all stages of development:  
14      ▪ Tests for bacteria, fungi, mycoplasma, mycobacteria (for virus and viral vector seeds):  
15      ▪ Virus titration for infectivity (for live-attenuated RSV vaccines)  
16      ▪ Freedom from TSE agents:  
17      ▪ Details of animal or human components of any reagents used in the manufacture of  
18      seed banks, including culture medium:  
19      ▪ Genetic stability at the level of a virus/bacterial pre-master seed or viral/bacterial  
20      master seed to its sequence at, or preferably beyond, the anticipated maximum  
21      passage level:  
22      ▪ Confirmation of approval for use by manufacturer, and the basis for that approval:

23      **2.1.2 Tests on working seed lot production (for chimeric BCG/RSV-vaccines)**

- 24      ▪ Antimicrobial sensitivity:  
25      ▪ Delayed hypersensitivity (if applicable):  
26      ▪ Identity:  
27      ▪ Bacterial and fungal contamination:  
28      ▪ Absence of virulent mycobacteria:  
29      ▪ Excessive dermal reactivity (if applicable):

30      **2.2 Cell cultures (where applicable)**

31      **2.2.1 Cell banking system**

- 32      ▪ Name and identification of cell substrate:  
33      ▪ Origin and history of cell substrate:  
34      ▪ Details of any manipulations (including genetic manipulations) performed on the  
35      parental cell line in the preparation of the production cell line:  
36      ▪ Cell bank genealogy with dates of preparation, passage number and date of coming  
37      into operation:  
38      ▪ Confirmation of approval for use by manufacturer, and the basis for that approval:  
39      ▪ Tests performed for detection of adventitious agents at all stages of development:

- 1     ▪ Test for absence of bacterial and fungal contamination (if of yeast and bacterial
- 2       origin):
- 3     ▪ Sterility test (bacteria, fungi, mycoplasmas, virus):
- 4     ▪ Details of animal or human components of any reagents used in manufacture of cell
- 5       banks, including culture medium:
- 6     ▪ Freedom from TSE agents:
- 7     ▪ Genetic stability (if genetically manipulated):

### 8     **2.2.2 Primary cells (if generated)**

- 9     ▪ Source of animals and veterinary control (for example, specify if animals or eggs are
- 10       sourced from closed, pathogen-free colonies):
- 11    ▪ Name, species and identification of primary cell batches:
- 12    ▪ Details of animal or human components of any reagents used in manufacture of cells:
- 13    ▪ Methods of isolation of the cells:
- 14    ▪ Tests performed for detection of adventitious agents during manufacture (may be
- 15       performed on control cells if necessary):
- 16    ▪ Freedom from TSE agents:

## 17    **3. Control of vaccine production**

### 18    **3.1 Control of production cell cultures/control cells (where applicable)**

#### 19    **3.1.1 Information on preparation**

- 20    ▪ Lot number of master cell bank:
- 21    ▪ Lot number of working cell bank:
- 22    ▪ Date of thawing ampoule of working cell bank:
- 23    ▪ Passage number of production cells:
- 24    ▪ Date of preparation of control cell cultures:
- 25    ▪ Result of microscopic examination:

#### 26    **3.1.2 Tests on cell cultures or control cells**

- 27    ▪ Identity:
- 28    ▪ Haemadsorbing viruses:
- 29    ▪ Adventitious agents:
- 30    ▪ Sterility (bacteria, fungi, mycoplasmas):

#### 31    **3.2 Control of purified antigen bulk (where applicable)**

- 32    ▪ Identity:
- 33    ▪ Purity:
- 34    ▪ Protein content:
- 35    ▪ Antigen content:
- 36    ▪ Sterility (bacteria and fungi):
- 37    ▪ Percentage of intact RSV antigens:
- 38    ▪ Nanoparticle size and structure:

- 1       ▪ Reagents during production of other phases of manufacture:
- 2       ▪ Residual DNA derived from the expression system (if applicable):
- 3       ▪ Residual bovine serum antigen content:
- 4       ▪ Viral clearance (during manufacturing development):

### 5       **3.3 Control of adsorbed antigen bulk (where applicable)**

- 6       ▪ Lot number of adsorbed antigen bulk:
- 7       ▪ Date of adsorption:
- 8       ▪ Volume, storage temperature, storage time and approved storage period:
- 9       ▪ Sterility (bacteria and fungi)
- 10      ▪ Bacterial endotoxin:
- 11      ▪ Identity:
- 12      ▪ Adjuvant:
- 13      ▪ Degree of adsorption:
- 14      ▪ pH:
- 15      ▪ Antigen content:

### 16      **3.4 Control of virus and viral/bacterial vector harvests or pooled harvests (where** 17           **applicable)**

#### 18      **3.4.1 Information on manufacture**

- 19      ▪ Batch number(s):
- 20      ▪ Date of inoculation:
- 21      ▪ Date of harvesting:
- 22      ▪ Lot number of virus/bacterial master seed lot:
- 23      ▪ Lot number of virus/bacterial working seed lot:
- 24      ▪ Passage level from virus/bacterial working seed lot:
- 25      ▪ Methods, date of purification if relevant:
- 26      ▪ Volume(s), storage temperature, storage time and approved storage period:

#### 27      **3.4.2 Tests**

- 28      ▪ Identity:
- 29      ▪ Sterility (bacteria, fungi, mycoplasmas and mycobacteria) (if applicable):
- 30      ▪ Adventitious virus tests:
- 31      ▪ Bacteria/fungi/mycoplasmas (for recombinant BCG/RSV vaccines):
- 32      ▪ Virus titration for infectivity (if applicable):
- 33      ▪ Residual bovine serum albumin (if applicable):
- 34      ▪ Tests for consistency of virus characteristics (if applicable):
- 35      ▪ Determination of attenuation (if appropriate):

### 36      **3.5 Control of monovalent virus/viral vector bulk (where applicable)**

#### 37      **3.5.1 Information on manufacture**

- 38      ▪ Batch number(s):
- 39      ▪ Date of formulation:
- 40      ▪ Total volume of monovalent bulk formulated:

- 1     ▪ Virus pools used for formulation:
- 2     ▪ Lot number/volume added:
- 3     ▪ Virus concentration:
- 4     ▪ Name and concentration of added substances (for example, diluent,
- 5     ▪ stabilizer if relevant):
- 6     ▪ Volume(s), storage temperature, storage time and approved storage
- 7     ▪ period:

### 8     **3.5.2 Tests**

- 9     ▪ Identity:
- 10    ▪ Purity:
- 11    ▪ Residual HCP:
- 12    ▪ Residual HC DNA (if non-primary cell lines):
- 13    ▪ Potency:
  - 14       ○ Particle number (if relevant e.g. for adenovirus):
  - 15       ○ Infectious virus titre and particle-to-infectivity ratio (if relevant e.g. for
  - 16       adenovirus):
  - 17       ○ Expression of heterologous antigen in vitro:
- 18    ▪ Replication competence (if relevant e.g. for adenovirus):
- 19    ▪ pH:
- 20    ▪ Preservative content (if applicable):
- 21    ▪ Endotoxin:
- 22    ▪ Sterility or bioburden:

## 23    **3.6 Control of final virus or viral/bacterial vector bulk (where applicable)**

### 24    **3.6.1 Information on manufacture**

- 25    ▪ Batch number(s):
- 26    ▪ Date of formulation:
- 27    ▪ Total volume of final bulk formulated:
- 28    ▪ Monovalent virus pools used for formulation:
- 29    ▪ Volume(s), storage temperature, storage time and approved storage period:
- 30    ▪ Lot number/volume added:
- 31    ▪ Virus concentration:
- 32    ▪ Name and concentration of added substances (for example, diluent, stabilizer if
- 33    relevant):

### 34    **3.6.2 Tests on virus or viral vector bulk**

- 35    ▪ Identity (if applicable):
- 36    ▪ Sterility or bioburden (if applicable):
- 37    ▪ Concentration of antimicrobial agent, if relevant:
- 38    ▪ Total protein:
- 39    ▪ Residual DNA (for cell-culture vaccine):
- 40    ▪ Ovalbumin (for egg-based vaccine):

- 1 **3.6.3 Tests on bacterial vector bulk**
- 2     ▪ Bacterial and fungal contamination:
- 3     ▪ Absence of virulent mycobacteria (if not performed on final lot):
- 4     ▪ Bacterial concentration:
- 5     ▪ Number of culturable particles:
- 6 **4. Filling and containers**
- 7     ▪ Lot number:
- 8     ▪ Date of filling:
- 9     ▪ Type of container:
- 10    ▪ Volume of final bulk filled:
- 11    ▪ Filling volume per container:
- 12    ▪ Number of containers filled (gross):
- 13    ▪ Number of containers rejected during inspection:
- 14    ▪ Number of containers sampled:
- 15    ▪ Total number of containers (net):
- 16    ▪ Maximum period of storage approved:
- 17    ▪ Storage temperature and period:
- 18 **5. Control tests on final vaccine lot**
- 19    ▪ Inspection of final containers:
- 20    ▪ Identity:
- 21    ▪ Appearance (if applicable):
- 22    ▪ pH (if applicable):
- 23    ▪ Osmolality (if applicable):
- 24    ▪ Sterility (if applicable):
- 25    ▪ Bacterial and fungal contamination (for chimeric BCG/RSV vaccines):
- 26    ▪ Preservative (if applicable):
- 27    ▪ Residual moisture content (for freeze-dried product):
- 28    ▪ Reconstitution time (for freeze-dried product):
- 29    ▪ Pyrogenic substances (if applicable):
- 30    ▪ Adjuvant content (if applicable):
- 31    ▪ Protein content (if applicable):
- 32    ▪ Degree of adsorption (if applicable)
- 33    ▪ Potency:
- 34    ▪ Infectivity (if appropriate):
- 35    ▪ Purity (if applicable):
- 36    ▪ Bacterial concentration (for chimeric BCG/RSV vaccines):
- 37    ▪ Tests for viability (for chimeric BCG/RSV vaccines):
- 38    ▪ Extractable volume (if applicable):
- 39    ▪ Thermal stability test (if applicable):
- 40    ▪ Residual antibiotics (if relevant):

- 1       ▪ Diluent (if applicable):  
2       ▪ Safety (for chimeric BCG/RSV vaccines):  
3

4       **6. Certification by the manufacturer**

5       Name of Head of Production (typed)\_\_\_\_\_

6       *Certification by the person from the control laboratory of the manufacturing company taking*  
7       *overall responsibility for the production and control of the vaccine.*

8       I certify that lot no. \_\_\_\_\_of RSV vaccine, whose number appears on the  
9       label of the final containers, meets all national requirements and satisfies Part A<sup>1</sup> of the *WHO*  
10      *Guidelines on the quality, safety and efficacy of RSV vaccines*<sup>2</sup> (if applicable).  
11

12      Name (typed)\_\_\_\_\_

13      Signature\_\_\_\_\_

14      Date\_\_\_\_\_

15

16      **7. Certification by the NRA**

17      If the vaccine is to be exported, attach the NRA Lot Release Certificate (as shown in  
18      Appendix 2), a label from a final container and an instruction leaflet for users.

---

<sup>1</sup> With the exception of provisions on distribution and shipping, which the NRA may not be in a position to assess.

<sup>2</sup> WHO Technical Report Series, No. 1011, Annex 4.

1 **Appendix 2. Model lot release certificate for human RSV vaccines by NRAs**

2 Certificate no.

3

4 This certificate is to be provided by the NRA of the country where the vaccine has been  
5 manufactured, on request by the manufacturer.

6

7 The following lot(s) of RSV vaccine produced by \_\_\_\_\_<sup>3</sup>  
8 in \_\_\_\_\_<sup>4</sup> whose lot numbers appear on the labels of the final containers,  
9 complies with the relevant specification in the marketing authorization and provisions for the  
10 release of biological products<sup>5</sup> and Part A<sup>6</sup> of the *WHO Guidelines on the quality, safety and*  
11 *efficacy of human Respiratory Syncytial Virus vaccines*<sup>7</sup> and comply with *WHO good*  
12 *manufacturing practices for pharmaceutical products: main principles*<sup>8</sup>, *WHO good*  
13 *manufacturing practices for biological products*<sup>9</sup>, and *Guidelines for independent lot release*  
14 *of vaccines by regulatory authorities*<sup>10</sup>.

15

16 The release decision is based on \_\_\_\_\_<sup>11</sup>

17

18 The certificate may include the following information:

- 19       ▪ name and address of manufacturer;  
20       ▪ site(s) of manufacturing;  
21       ▪ trade name and common name of product;  
22       ▪ marketing authorization number;  
23       ▪ lot number(s) (including sub-lot numbers and packaging lot numbers if necessary);  
24       ▪ type of container used;  
25       ▪ number of doses per container;  
26       ▪ number of containers or lot size;  
27       ▪ date of start of period of validity (for example, manufacturing date) and expiry date;  
28       ▪ storage conditions;  
29       ▪ signature and function of the person authorized to issue the certificate;  
30       ▪ date of issue of certificate;  
31       ▪ certificate number.

32

33 The Director of the NRA (or other authority as appropriate):

---

<sup>3</sup> Name of manufacturer.

<sup>4</sup> Country of origin.

<sup>5</sup> If any national requirements are not met, specify which one(s) and indicate why release of the lots has nevertheless been authorized by the NRA.

<sup>6</sup> With the exception of provisions on distribution and shipping, which the NRA may not be in a position to assess.

<sup>7</sup> WHO Technical Report Series, *to be determined*.

<sup>8</sup> WHO Technical Report Series, No. 986, Annex 2.

<sup>9</sup> WHO Technical Report Series, No. 999, Annex 2.

<sup>10</sup> WHO Technical Report Series, No. 978, Annex 2.

<sup>11</sup> Evaluation of product-specific summary protocol, independent laboratory testing, and/or specific procedures laid down in a defined document, etc., as appropriate.

1 Name (typed) \_\_\_\_\_

2

3 Signature \_\_\_\_\_

4

5 Date \_\_\_\_\_

6